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# Gyro-kinetic and Gyro-fluid Simulations of micro-turbulence and associated flow generation

Y. Kishimoto (岸本泰明)

collaboration with

Y. Idomura, J.Q. Li, A.I. Smolyakov<sup>\*</sup>, S. Tokuda, M. Wakatani<sup>+</sup>

Naka Fusion Res. Establishment  
Japan Atomic Energy Research Institute

\* University of Saskatchewan

+ University of Kyoto

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# Outline

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Various profile formation in advanced tokamak operation  
is tightly coupling with various electric field and flow generation

Equilibrium and/or neo-classically driven flow

Turbulence driven zonal flow

- simulation of zonal flow generation (ETG and ITG)
- mechanism of zonal flow generation
  - modulational instability analysis

new role of zonal flow

- Interaction among different scale fluctuation
  - possibility of coupling between ITG and ETG

# Origin of radial electric field in tokamak plasma

Review : K. Itoh and S. Itoh, PPCF (1996)

Neoclassical effect : Novakovskii, et.al (1997)

$$V_E = (k - 1)V_{*T} - V_{*n} \quad k = 0.5 \sim 1.1$$

High energy particle loss :

Ohkawa (1995), Rosenblth et.al (1996)

Non-local orbit effect : Cheing, et.al (1996)

ripple of toroidal magnetic field  
large orbit effect

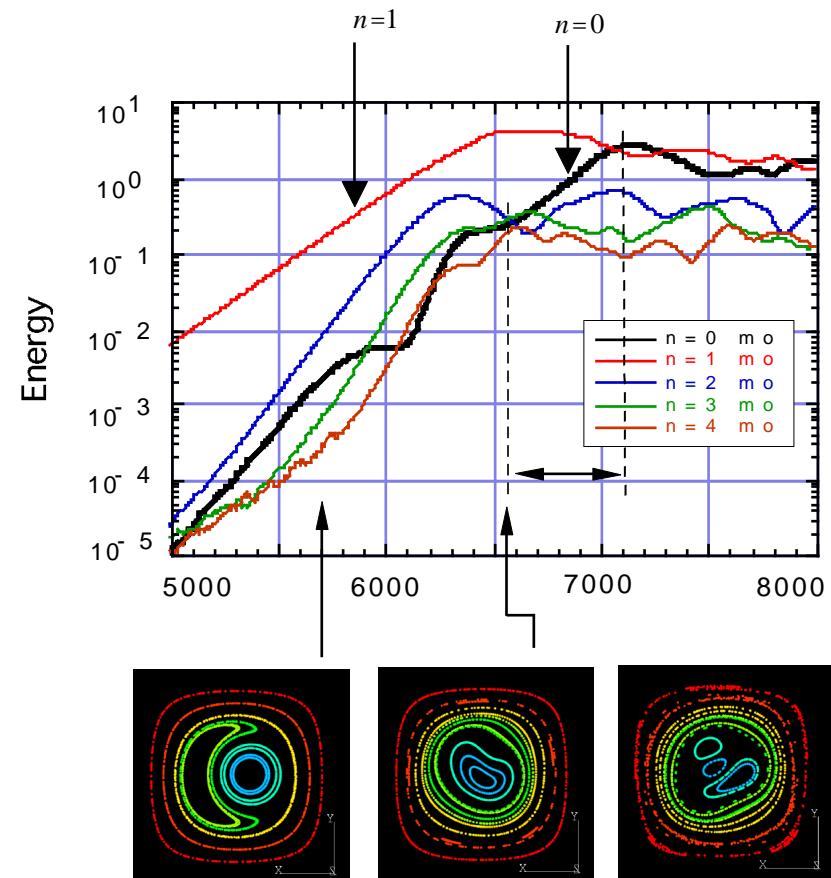
MHD driven flow

E. Joffrin et.al. (2001) :

ITB trigger by external MHD  
and resulting toroidal mode coupling

T. Matsumoto, H. Naito, et. al (2000) :

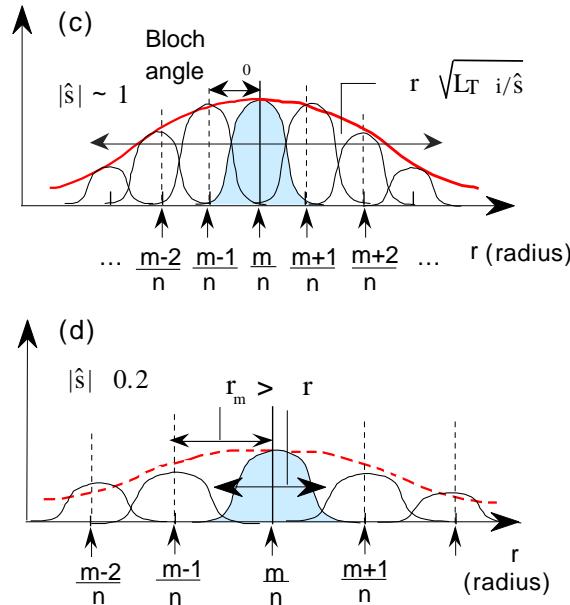
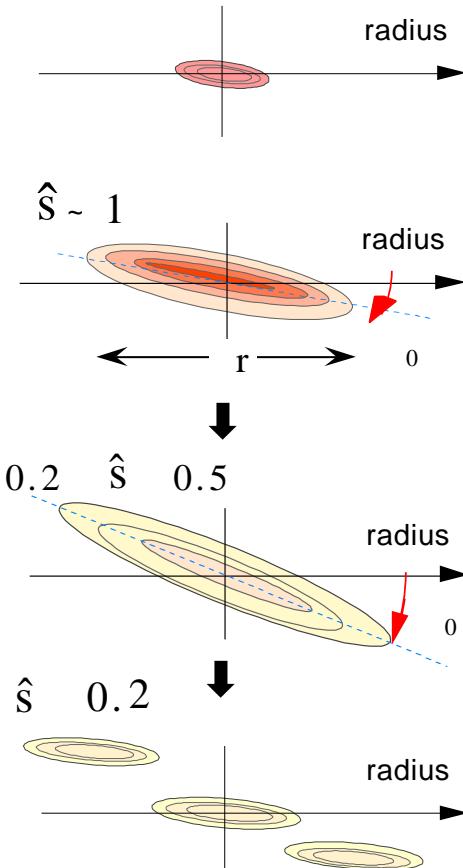
Gyro-kinetic MHD simulation  
( internal kink mode)



formation of radial electric field during  
internal collapse of m/n=1/1 internal kink mod

# Effect of flow shear on fluctuation

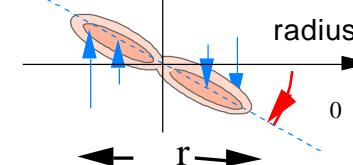
magnetic shear dependence



dependence of plasma shear rotation

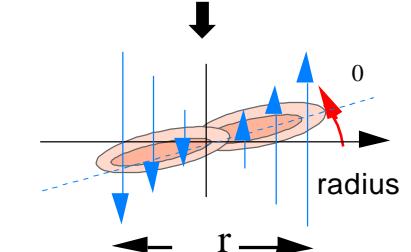
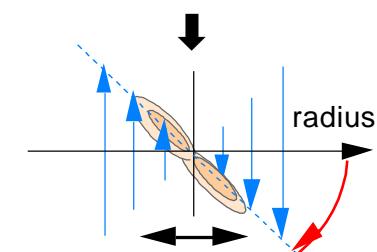
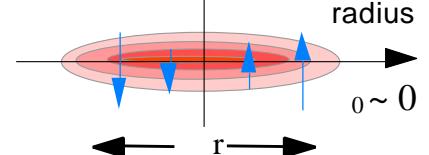
(a)  $f / r < 0$

**same direction**  
as diamagnetic shear



(b)  $f / r > 0$

**opposite direction**  
as diamagnetic shear



$$= \omega_0 \cos\left(\frac{\max}{\omega_0}\right) (\omega_0)_{\max} \mp \left| \frac{\frac{r}{\omega} + \frac{f}{\omega}}{2k \omega_0 \hat{s}} \right|^{\frac{1}{3}} \pm \left| \frac{0}{d} \right| \frac{1}{2\hat{s} k L_T} \right|^{\frac{1}{3}}$$

Kim, Kishimoto et.al (1996)

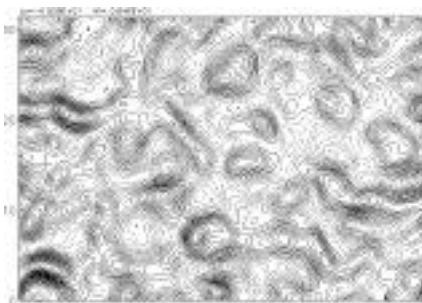
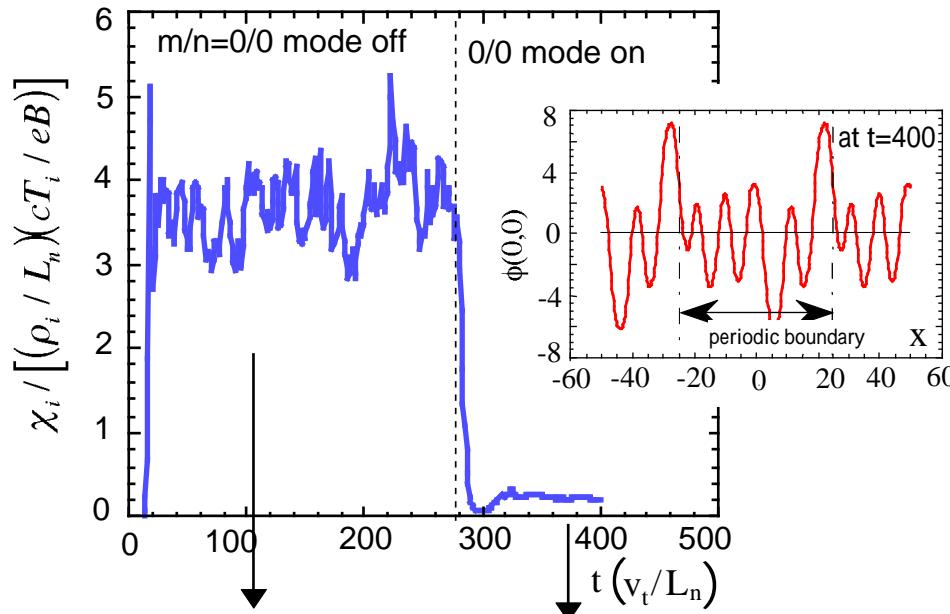
$$E_{\times B} = \frac{(RB)^2}{B} - \frac{E_r}{RB}$$

Hahm, Burrell (1995)

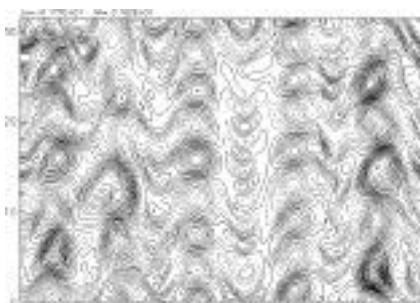
# Fluctuation and transport is regulated by zonal flow

Sheard ExB zonal flow generated by nonlinear interaction among turbulences

Electrostatic toroidal ITG turbulence



$t=180$  (w/o zonal flow)



$t=400$  (with zonal flow)

Nonlinear interaction by Reynolds' stress

$$\frac{\mathbf{V}_E}{t} = \mathbf{F}_{\text{Rey}} = - \left\langle \tilde{\mathbf{V}}_x \frac{\tilde{\mathbf{V}}_y}{x} \right\rangle - \left\langle \tilde{\mathbf{V}}_y \frac{\tilde{\mathbf{V}}_y}{x} \right\rangle$$

radial scale of flow      turbulent scale

$$k_r^{(z)} \begin{pmatrix} (z) & 0 \end{pmatrix} \sim k_r$$

$$E_{\times B} = \frac{(RB)^2}{B} \frac{E_r}{RB} k_r^{(z)2} (z)$$

Hahm, Burrell (1995)

Regulate turbulent eddies and  
decreasing radial correlation length

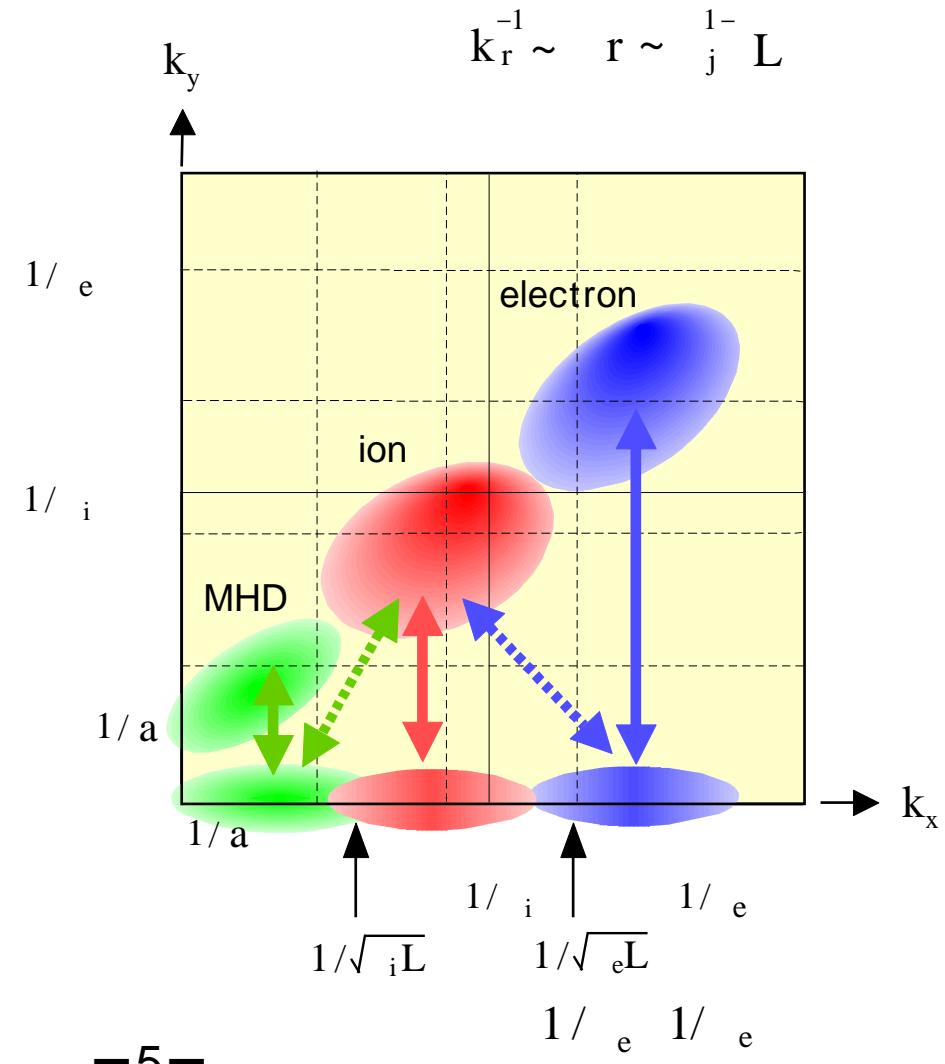
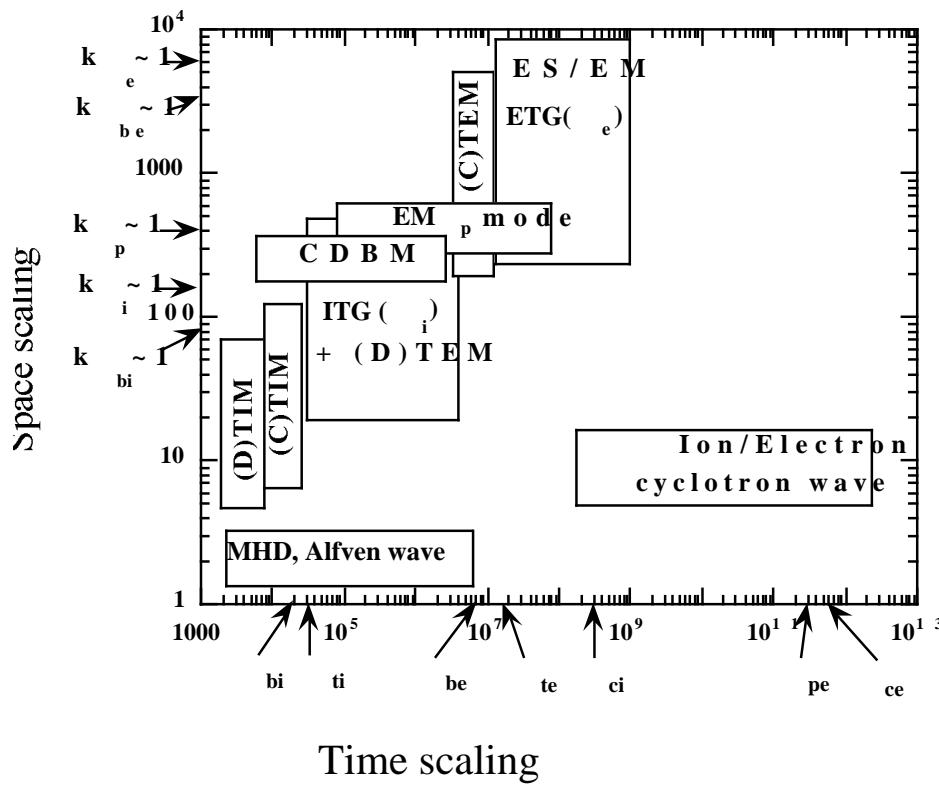
Reduction of turbulent fluctuation  
and transport

However, still transport is anomalous

# Various fluctuation and corresponding flow generation

zonal flow :  $k_z^{(z)} \sim k^{(z)} \sim 0$   
 $k_r^{(z)} \sim 0$

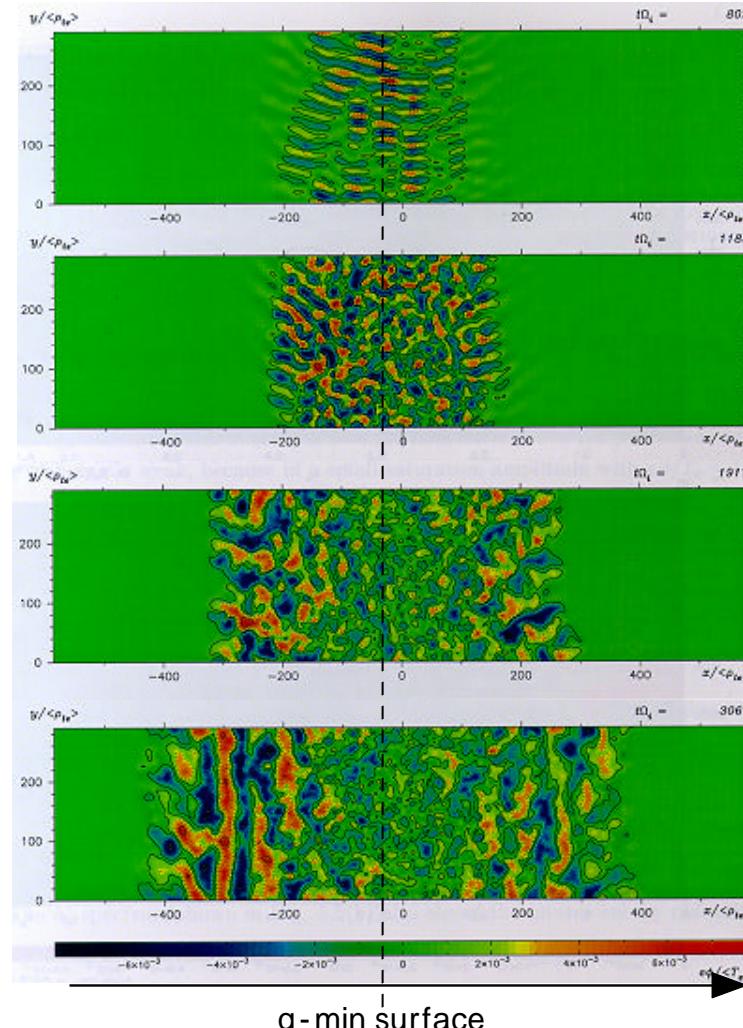
Role of coupling among different fluctuations  
(except  $k_r$ )



# Nonlinear evolution of ETG turbulence in reversed shear plasma

ETG (Electron Temperature Gradient Mode) turbulence is self-regulated by TEG driven Zonal flow and secondary Kelvin-Helmholtz (K-H) instability

Linear phase  
( $t=2\mu\text{sec}$ )

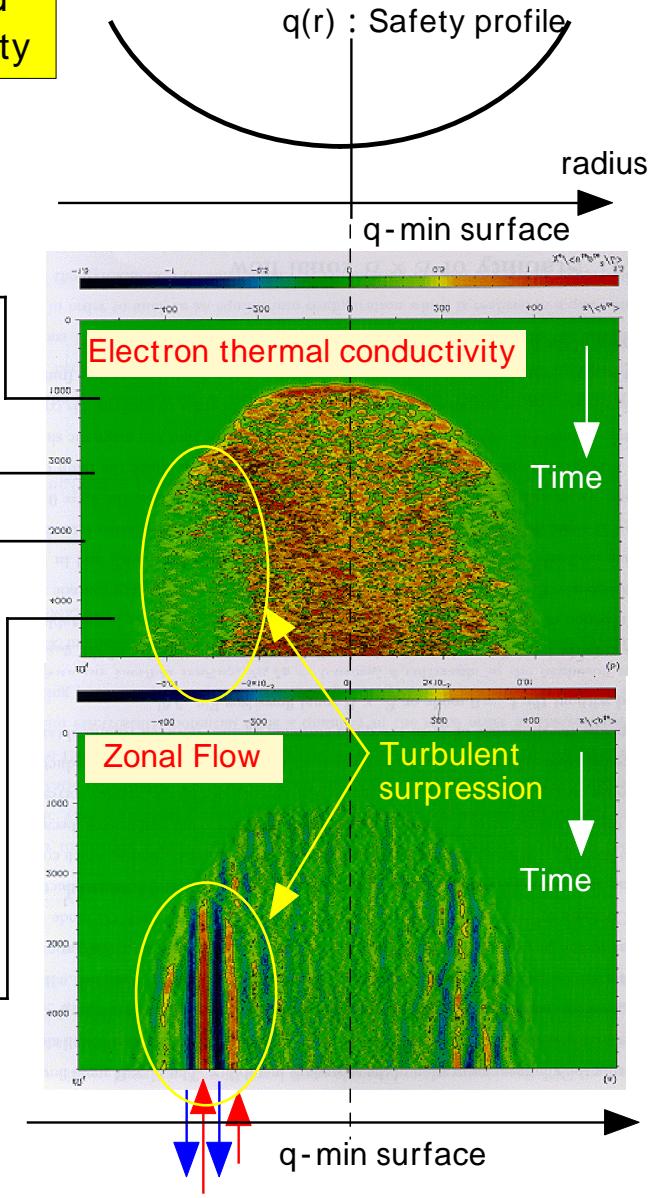


Saturation phase  
( $t=4\mu\text{sec}$ )

Nonlinear phase  
( $t=6\mu\text{sec}$ )

Quasi-steady state  
( $t=8\mu\text{sec}$ )

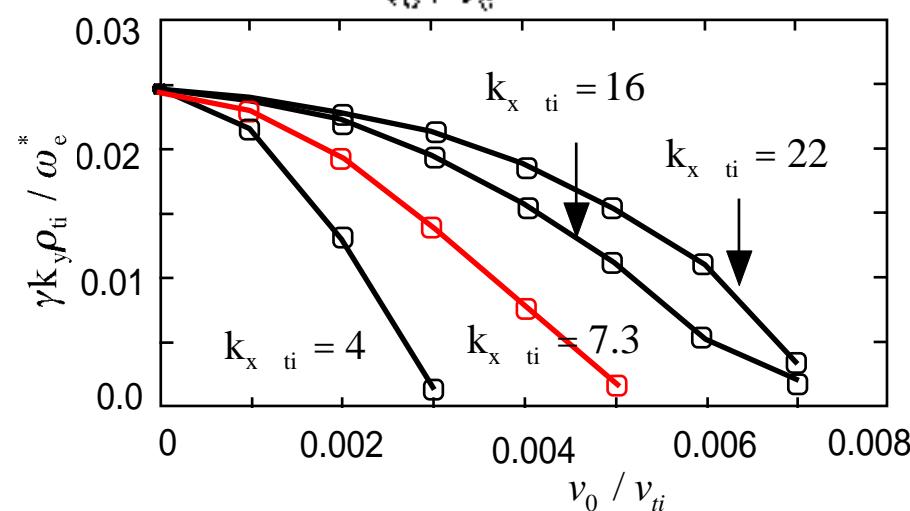
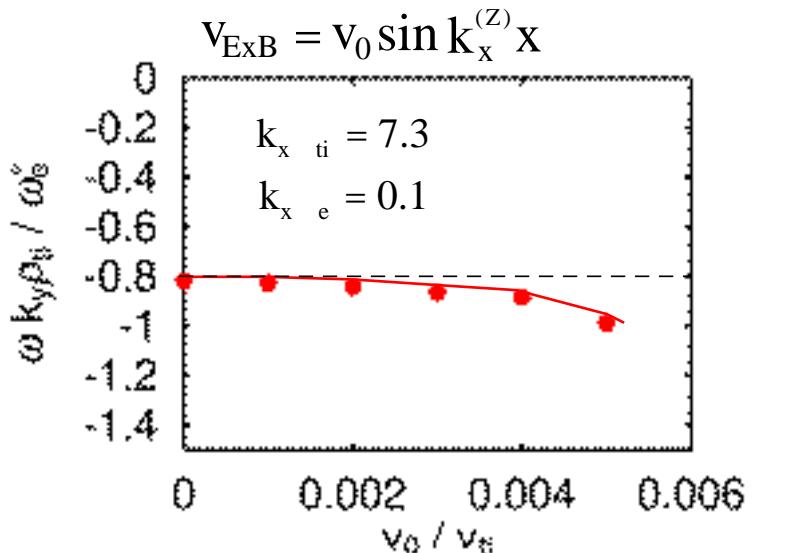
Idomura et. al IAEA (2000)



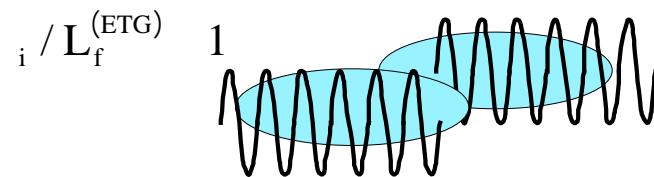
# Effect of ETG driven flow on ITG linear mode

Linear calculation of NS-ITG mode by using Fourier particle code

ExB microscopic equilibrium flow driven by ETG turbulence



finite FLR effect for microscopic ETG flow



$$\langle v_{ExB} \rangle = v_0 \sin(k_x^{(Z)} x) J_0(k_x^{(Z)} i)$$

ETG driven zonal flow  
stabilizes the ITG mode

$$v_0 / v_{ti} \sim 0.005$$

Effect of zonal flow on ITG mode  
sensitive to zonal flow spectrum

# **Interaction among different spatial scale turbulence**

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Interaction with different time and spatial scale turbulences

Direct interaction of fluctuation

- random noise
- direct overlapping of spectrum

Yoshizawa et.al (2001)

Itoh and Itoh (2001)

Indirect interaction through zonal flow

turbulent spectrum  $\leftrightarrows$  zonal flow spectrum

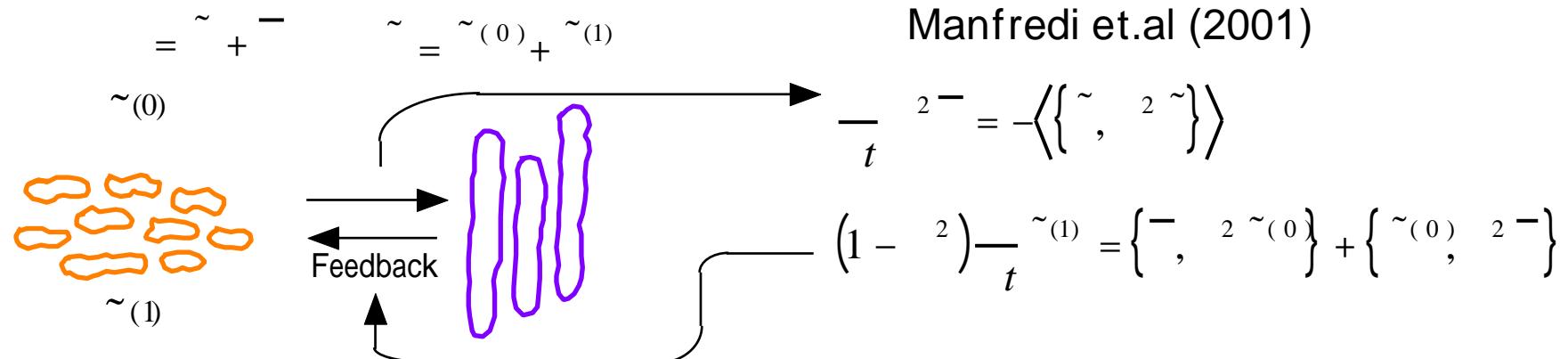
Mechanism of zonal flow generation

- linear stability
- saturation mechanism and the level
- steady state zonal flow spectrum (wave number and frequency)
- damping mechanism

# Zonal Flow Instability

Inverse cascade : energy transfer from micro-scale to macro-scale turbulence

Physical mechanism : positive feedback of coupling dynamics between micro- and macro-scale structure ("modulational instability")



Dispersion relation for large scale fluctuation : Smolyakov, Diamond et.al. (1999)

$$\text{scale separation : } |\mathbf{q}| \ll |\mathbf{k}| \quad | | \ll |_{\mathbf{k}} |$$

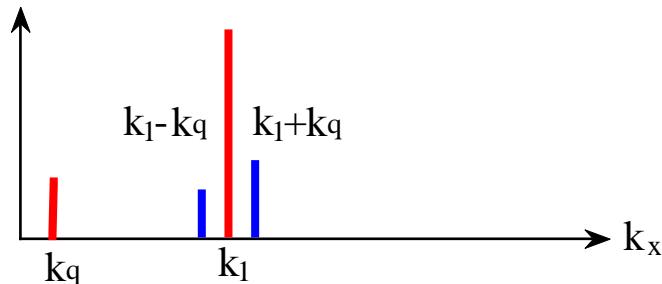
$$\begin{aligned}
 -i &= -q^2 C_s^2 d^2 k \frac{k_s^2}{(1 + k_s^2)^2} k_r^2 \frac{N_k^0}{k_r} \frac{i}{-q V_{gr}} \\
 &= -q^2 (-q V_{gr}) k_r^2 \frac{N_k^0}{k_r} d^2 k \quad \text{instability : } \frac{N_k^0}{k_r} < 0
 \end{aligned}$$

Saturation mechanism and saturation level of zonal flow

# Modulational instability analysis

Formation of feedback loop depending on initial states Li, Kishimoto (2002)

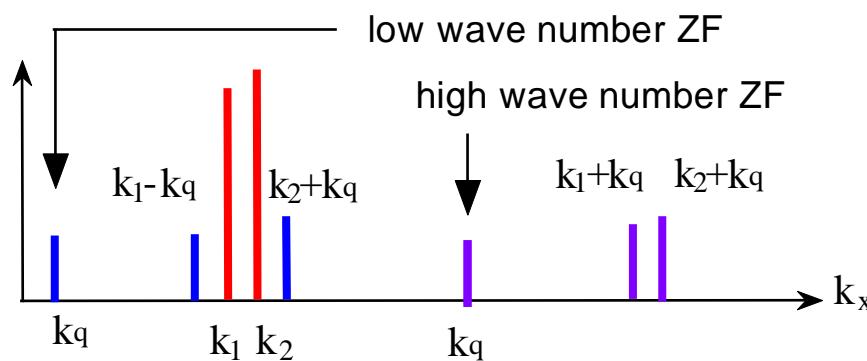
Three fundamental mode coupling processes



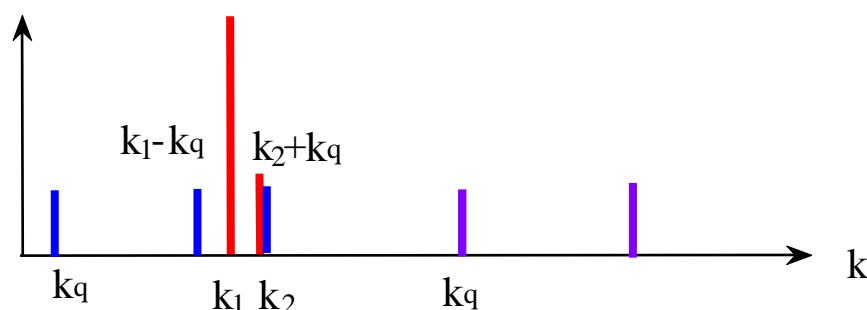
ETG turbulence - zonal flow system

$$(1 - \frac{v^2}{c^2}) \frac{\partial}{\partial t} = \frac{\partial}{\partial y} + [v, \frac{\partial^2}{\partial x^2}]$$

one dominant pump wave  
a zonal flow seed

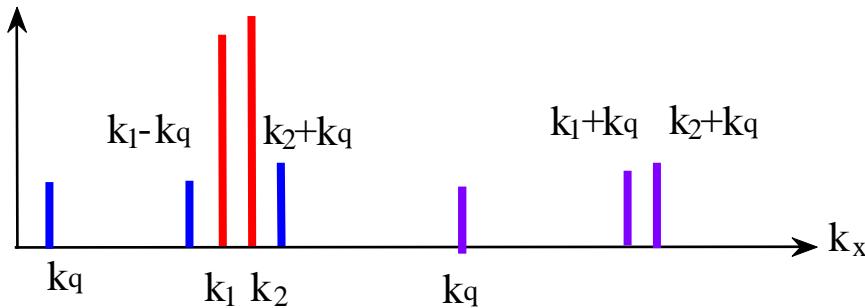


two dominant pump wave  
( comparable amplitude )  
no seed necessary



one dominant pump wave  
a side band of small amplitude  
no seed necessary

# Modulational instability analysis (continue)



dispersion relation

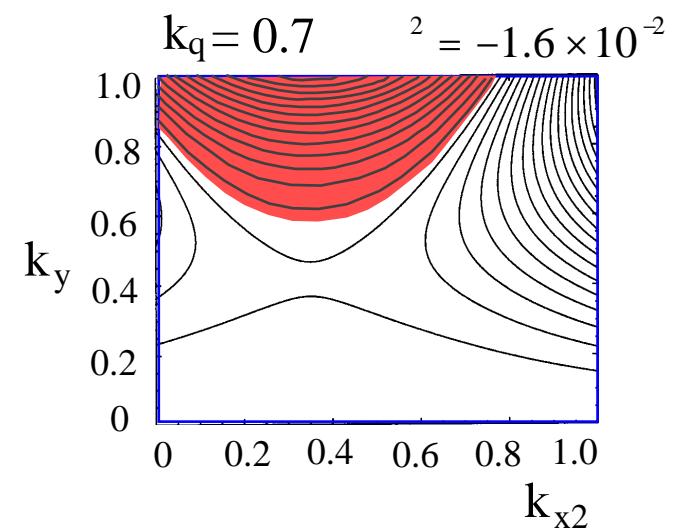
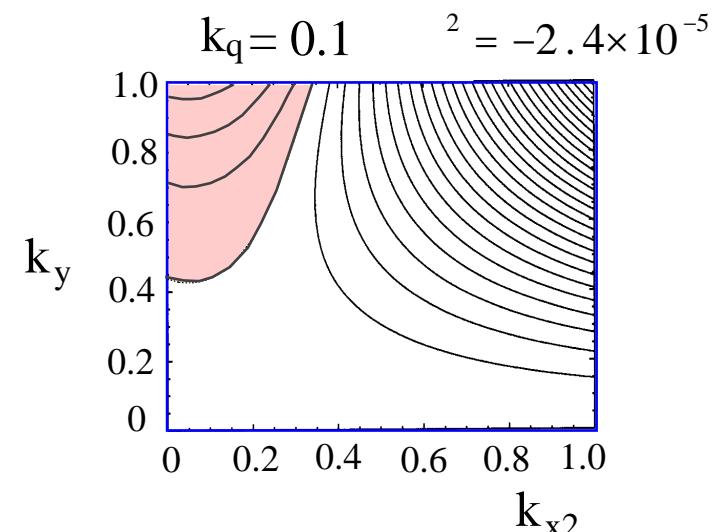
$$\begin{aligned} \omega^2 &= \frac{k_y^2 k_q^2 (k_{x1} + k_{x2})}{8(1 + k_q^2)} \frac{k_{x1}^2 + k_y^2 - k_q^2}{1 + k_{x2}^2 + k_y^2} \quad 1^2 - \frac{k_{x2}^2 + k_y^2 - k_q^2}{1 + k_{x1}^2 + k_y^2} \quad 2^2 \\ &- \frac{k_y^2 k_q^3 (k_{x2} - 3k_{x1})}{8(1 + k_q^2)} \frac{k_{x1}^2 + k_y^2 - k_q^2}{1 + (2k_{x1} - k_{x2}) + k_y^2} \quad 1^2 \\ &- \frac{k_y^2 k_q^3 (3k_{x2} - k_{x1})}{8(1 + k_q^2)} \frac{k_{x2}^2 + k_y^2 - k_q^2}{1 + (2k_{x2} - k_{x1}) + k_y^2} \quad 2^2 \end{aligned}$$

$\omega_1^2 < 0$       modulational instability  
(exponential grow of zonal flow)

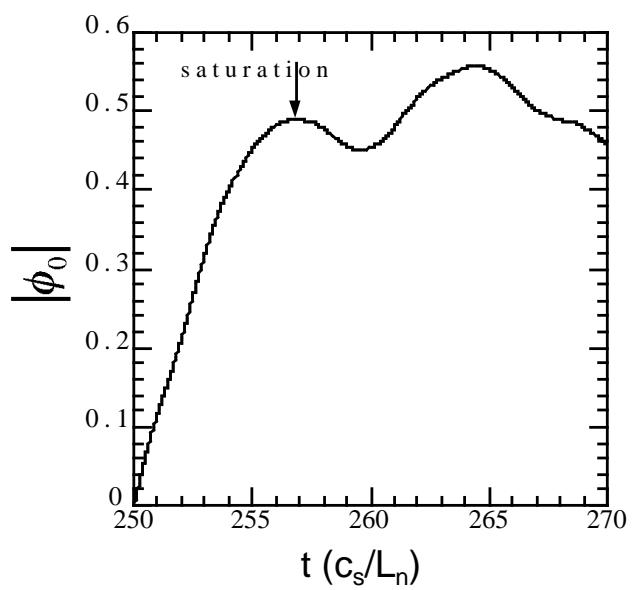
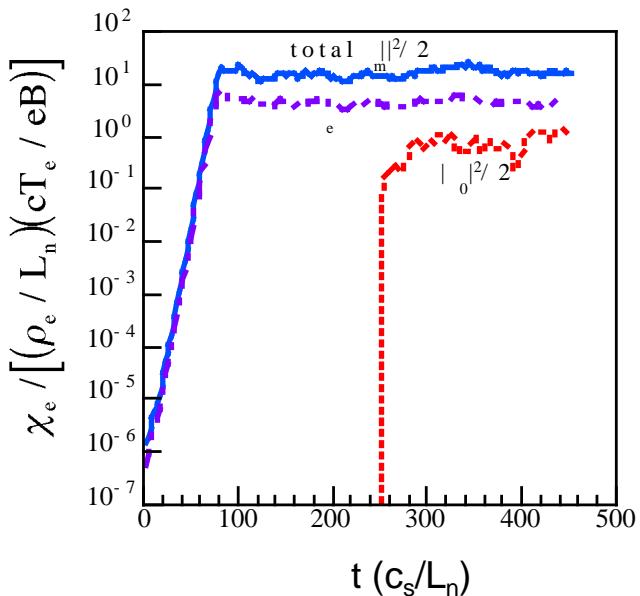
zonal flow evolution

$$b_z(t) = \frac{b_2}{\sqrt{\omega_2}} \sin(\sqrt{\frac{\omega_2}{\omega_1}} t) \quad b_2 = \frac{k_y k_q^2 (k_{x1} + k_{x2})}{4(1 + k_q^2)}$$

dependence of zonal flow stability  
on background fluctuation



# Modulational instability zonal flow excitation and saturation



two dominant pump wave

$$(k_{x1}, \pm k_y) = (0.25, \pm 0.6)$$

$$(k_{x2}, \pm k_y) = (0.42, \pm 0.6)$$

$$\Rightarrow k_q = k_{x2} - k_{x1} = 0.17 \quad : \quad \frac{2}{2} > 0 \quad \text{stable}$$

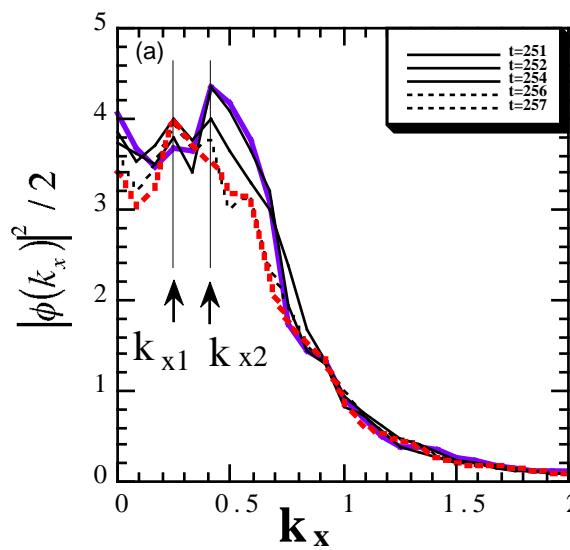
$$\Rightarrow k_q = k_{x2} + k_{x1} = 0.67 \quad : \quad \frac{2}{2} < 0 \quad \text{unstable}$$

$$\frac{2}{2} > 0$$

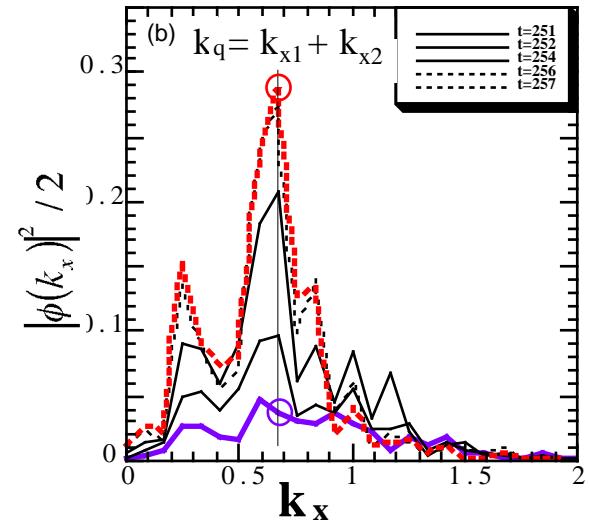
zonal flow stable  
after saturation

zonal flow cascade to  
lower  $k_x$

turbulent  $k_x$  spectrum



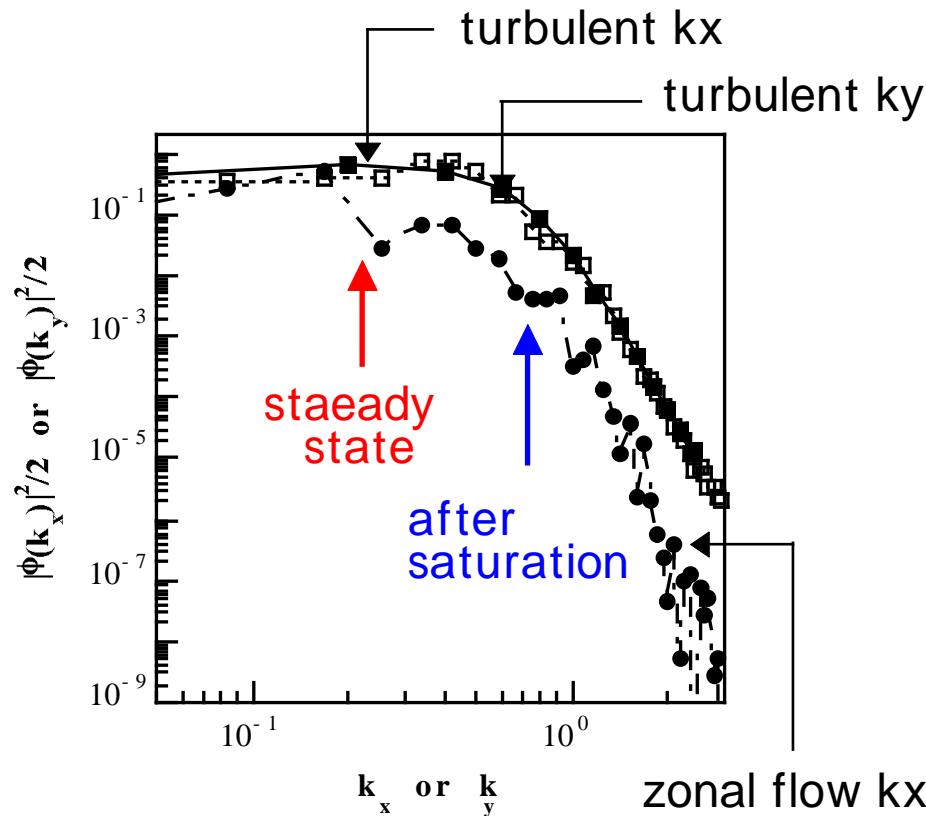
zonal flow  $k_x$  spectrum



# Modulational instability zonal flow excitation and saturation

Steady state spectrum of zonal flow

(continue)



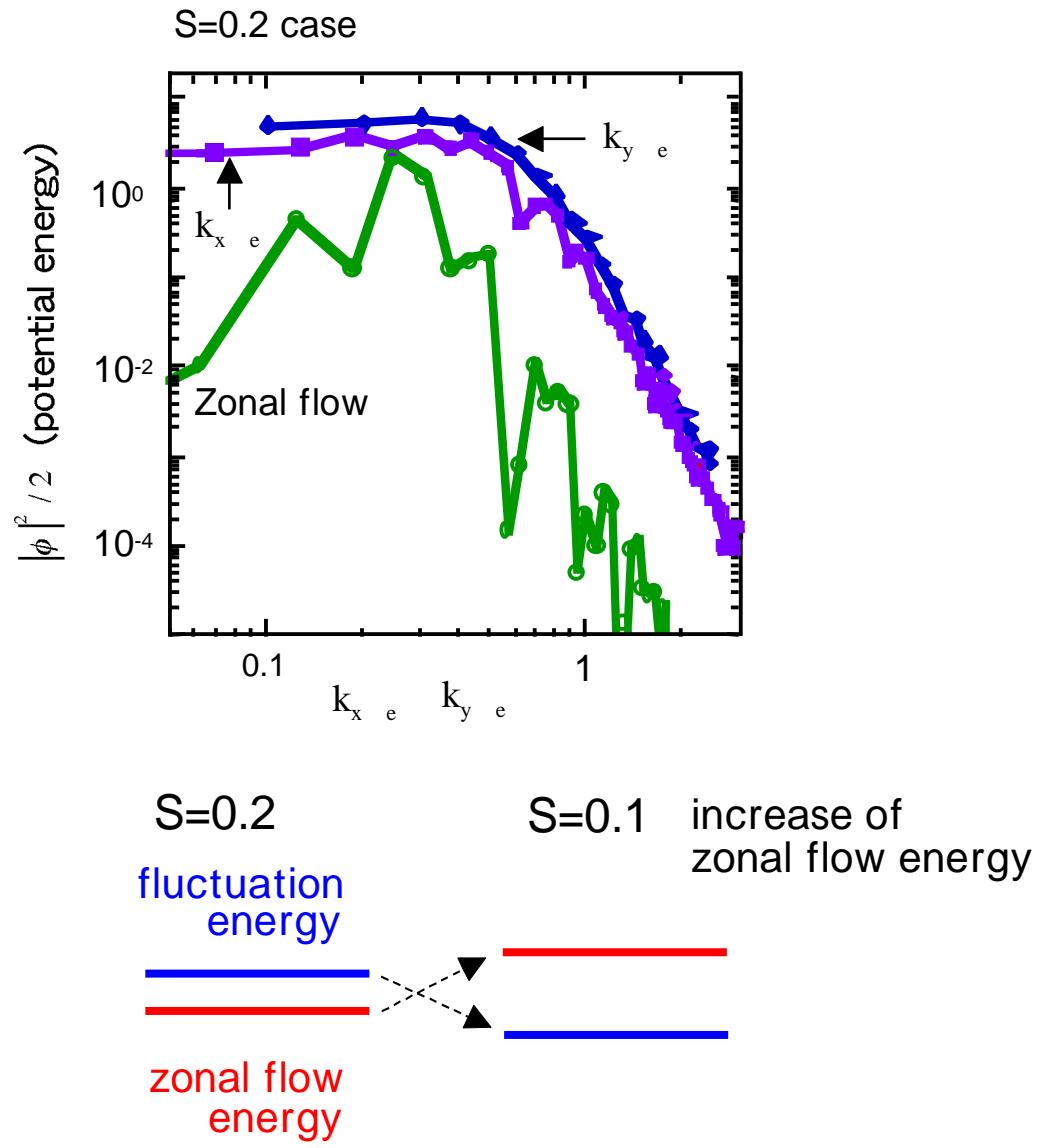
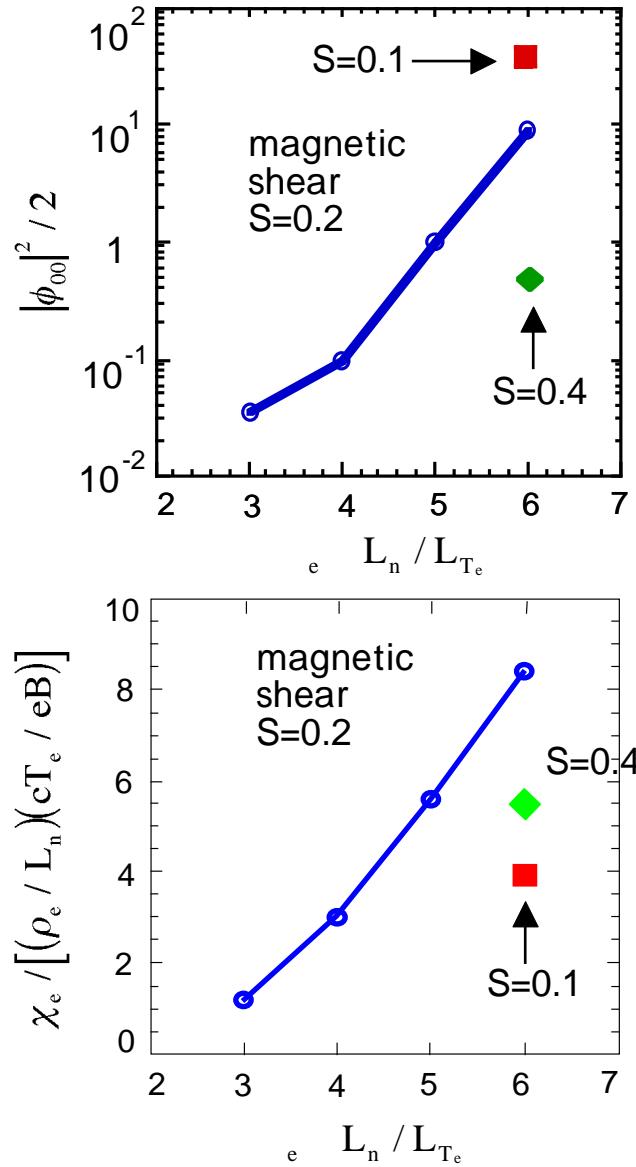
High wave number zonal flow "unstable"

Cascade of zonal flow spectrum  
to lower wave number

$$k_x^{(z)} = 0.1 \sim 0.3$$

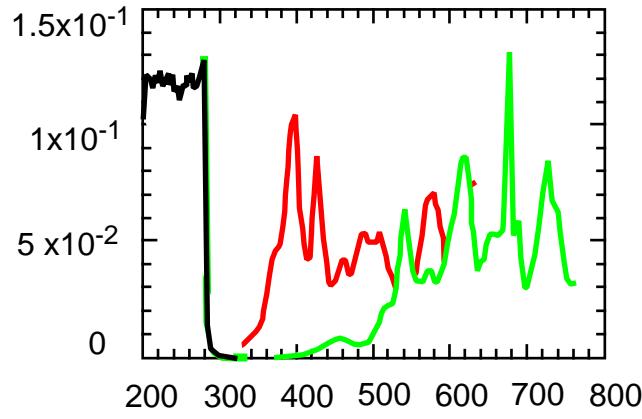
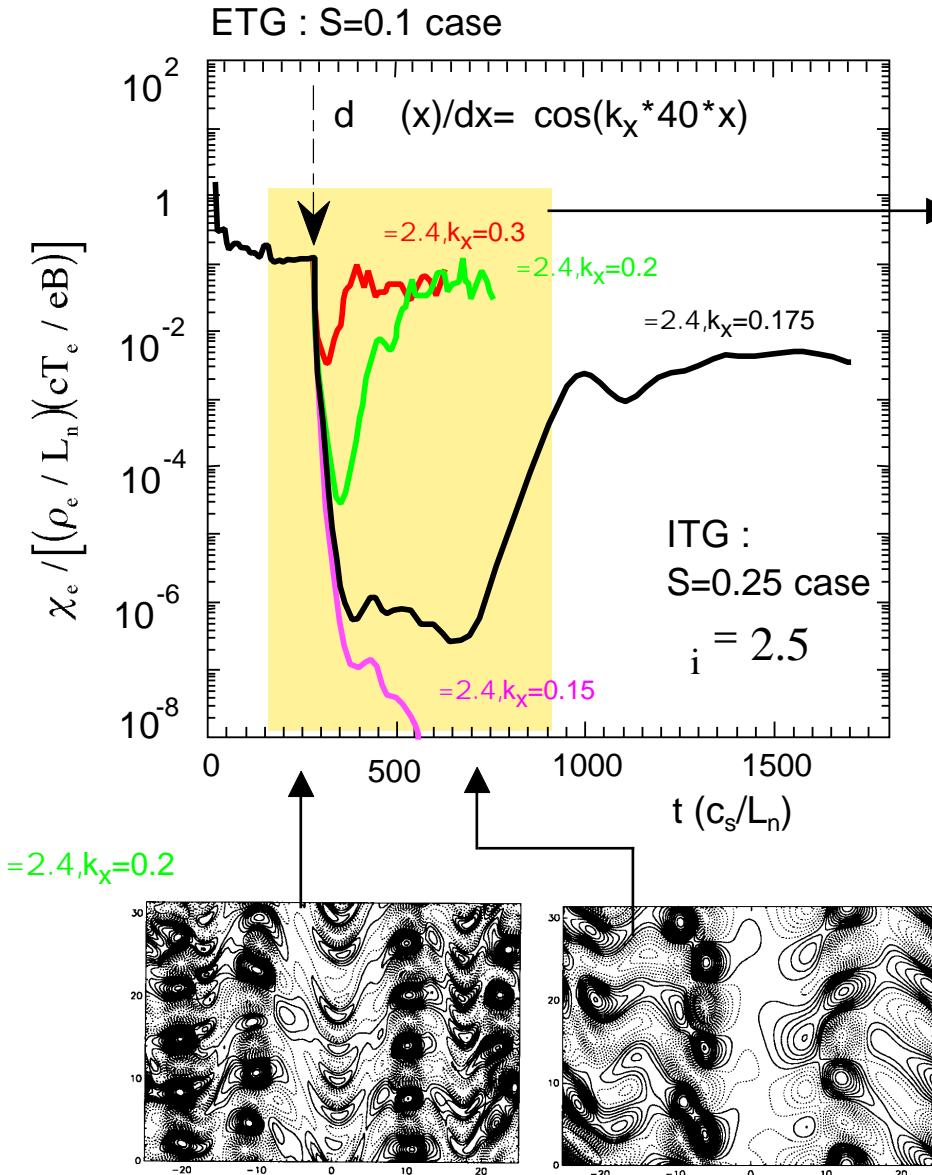
# Gyro-fluid simulation of slab ETG mode

parametric dependence of zonal flow energy : Is zonal flow controllable?



# Effect of ETG driven zonal flow on toroidal ITG turbulence

ETG driven zonal flow is effective for reducing ITG-driven transport.



Effect of ITG turbulence suppression  
(or phase scrambling )exists  
by small scale zonal flow  
sensitive to zonal flow spectrum

Intermittent behavior of transport  
complicated interaction  
between ITG and external ETG flow

# **Summary**

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Effects of radial electric field and related flow on micro-instability and turbulent fluctuation are investigated based on theory and kinetic/fluid simulations.

Zonal flow generation and saturation is studied by modulational instability and also nonlinear fluid simulation

High  $k_x$  zonal flow is more unstable,  
but cascade to lower  $k_x$  in nonlinear steady state.

Possibility of coupling among different scale fluctuation through self-generated zonal flow are discussed.

ETG driven zonal flow plays an important role not only for the self-regulating the turbulent level, but also possibility to suppress and kill the long wavelength fluctuation.

future works      zonal flow damping / kinetic effect / full-scale simulation • • •