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T. Yamagishi

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# Effect of Continuous Eigenvalue Spectrum on Plasma Transport in Toroidal Systems

Tomejiro Yamagishi

Fukui Institute of Technology, Gakuen Fukui 910

## Abstract

The effect of the continuous eigenvalue of the Vlasov equation on the cross field ion thermal flux is investigated. The continuum contribution due to the toroidal drift resonance is found to play an important role in ion transport particularly near the edge, which may apply to the interpretation of the sharp increase of ion heat conductivity near the periphery observed in large tokamaks.

**Keywords:** Ion temperature gradient drift mode, Vlasov continuum, ion toroidal drift resonance, cross field ion thermal flux, edge phenomenon, gyro-Bohm diffusion coefficient, frequency power spectrum, electrostatic turbulence, transit resonance continuum

## §1. Introduction

The ion heat conductivity observed in toroidal fusion experimental devices is larger than the heat conductivity predicted by neoclassical theory by more than one order of magnitude<sup>(1)~(3)</sup>. Numerous studies on the anomalous ion heat conductivity have been made based on the ion temperature gradient drift mode<sup>(4)~(8)</sup>. The most simple and most frequently used method to evaluate the anomalous diffusion coefficient  $D_{\perp}$  is to apply the formula  $D_{\perp} = \gamma / k_{\perp}^2$  derived from the balance condition between the growth rate of certain micro-instability and the damping rate  $-k_{\perp}^2 D_{\perp}$  due to the anomalous diffusion<sup>(9)</sup>, where  $k_{\perp}$  is the perpendicular wave number of the instability. The above mixing length formula is some times successful to some extent to interpret experimentally observed transport phenomenon.

The difficulty with the theory based on the linear instability is that the electric and/or magnetic fluctuations which are obviously correlated to the instability are usually not observed. Furthermore, experimentally observed transport coefficient does not change at the onset of the instability predicted by the theory.

The mixing length theory relies on the existence of certain linear instability or discrete eigenvalue  $\gamma$  derived from the dispersion relation. In addition to the discrete eigenvalue, it is well known that the basic Vlasov equation has the continuous eigenvalue<sup>(10)~(12)</sup>. The existence of the continuous eigenvalue induced by the wave-particle resonance condition is one of the most significant characteristics of the Vlasov equation.

Since the continuum extends on the real axis in the complex  $\omega$ -plane, it may be considered as the continuously infinite set of steady oscillating modes. Case<sup>(11)</sup> applied Van Kampen's theory<sup>(10)</sup> to the Vlasov type neutron transport equation, and constructed the orthogonal complete set of eigenfunctions corresponding to the discrete and continuous eigenvalues for the Vlasov equation. In neutron transport theory, the contribution from the discrete eigenvalue corresponds to the solution of the diffusion equation which describes the random walk process of particles, while the continuum contribution corresponds to

the free flight of particle without collision. The continuum contribution may also describes the spatial transient phenomenon, which plays an important role near a source or boundary.

In the field of neutron transport theory, the transport theory means that both discrete and continuous eigen modes are included, in which the major difficulty is in the treatment of the continuum contribution. The transport theory, therefore, is distinctly different from the diffusion theory where only particle random walk process is taken into account. Since the basic equations for neutron transport and plasma kinetics are the same Vlasov equation, the importance of the continuum contribution in neutron transport process may be true also in the field of plasma dynamics. It is the purpose of this paper, therefore, to investigate the effect of continuum contribution to the radial plasma transport in toroidal systems assuming the power spectrum of electric field fluctuation is given.

## §2. Eigenvalue Spectrum of Ion Temperature Gradient Mode

We start with the solution of the first order perturbation of gyrokinetic equation of the form

$$f = J_0(\alpha) \frac{\omega - \omega_{*T}}{\omega - \omega_D - \omega_t} F_M \frac{e\phi}{T_i} \quad (1)$$

where all notations are standard as defined in Ref.(5):  $\omega_D = -\omega_D(v_{\perp}^2/2 + v_{\parallel}^2)$ ,  $\omega_D = 2\varepsilon_n \omega^*/\tau$ ,  $\tau = T_e/T_i$ ,  $\omega_t = k_{\parallel} v_{\parallel}$ ,  $\varepsilon_n = L_n/R, 1/L_n = -d\ln n/dr$ ,  $\omega_{*T} = \omega_{*e}(1 + \eta_i(v_{\perp}^2 + v_{\parallel}^2 - 3/2))/\tau$ ,  $\eta_i = d\ln T/d\ln n$ ,  $\omega_{*e} = k_y c T_e / e B L_n$ , and quantities without index  $i$  stand for ion. Introducing eq.(1) into the quasi-neutrality condition

$$\left(1 + \frac{1}{\tau}\right) \varphi(\theta) = \int d^3v J_0(\alpha) f \quad (2)$$

yields the electrostatic local mode dispersion relation

$$D_{es} = 1 + \frac{1}{\tau} \int d^3v \frac{\omega - \omega_{*T}}{\omega - \omega_D - \omega_t} J_0^2(\alpha) F_M = 0 \quad (3)$$

The solution of  $D_{es}(\omega_0) = 0$  gives the discrete eigenvalue  $\omega_0$ .

If we assume  $\omega_D$  is a constant with respect to velocity, eq.(3) can be expressed in terms of the plasma dispersion function  $Z(\zeta)$ , and approximate solution may be derived for the eigenvalue  $\omega$ . In this

approach, however, the correct  $\eta_i$ -mode is never obtained. To investigate the toroidal  $\eta_i$ -mode correctly, the velocity dependence of  $\omega_D$  is essential, and we cannot assume  $\omega_D$  as a constant. For the Maxwellian plasma, by neglecting trapped particles, eq.(1) can be written in the form of double integral:

$$D_{es}(\omega) = 1 + \frac{1}{\tau} - \frac{2}{\sqrt{\pi}} \int_0^\infty dx x e^{-x^2} J_0^2(\alpha) \int_{-\infty}^\infty dy \frac{\omega - \omega_* (1 + \eta_i (x^2 + y^2 - 3/2))}{\omega - \omega_D (x^2/2 + y^2) - \omega_{ti} y} e^{-y^2} = 0 \quad (4)$$

where  $\alpha = (2b)^{1/2} x$  and  $b = (k_\perp \rho_i)^2 / 2$ .

In the fluid limit, eq.(4) may be solved by expanding the integrand in eq.(4) in terms of  $\omega_D/\omega$  and carrying out moment integrals for  $\omega_D \ll \omega$ . This approach may give the interchange type solution  $\omega_0 = (\omega_* \omega_D \eta_i)^{1/2}$  when  $\eta_i$  is large enough<sup>(5)</sup>. Near the marginal state, however, the resonance effect becomes important, and the validity of this fluid approximation is broken down. To obtain the correct discrete eigenvalue of the  $\eta_i$ -mode, eq.(4) has been solved numerically by making use of conformal mapping method<sup>(13)</sup>.

The double integral of the complex function in eq.(4) is time consuming particularly near the resonance condition:  $\omega = \omega_D + k_\parallel v_{th}$ . If we neglect the transit ion,  $k_\parallel = 0$ , and approximate the toroidal drift frequency in the form  $\omega_D = \omega_D \bar{E}$  for the case without finite Larmor radius effect  $b=0$ , eq.(4) can be written in the form of single integral:

$$D_{es}(\omega) = 1 + \frac{1}{\tau} - \frac{2}{\sqrt{\pi}} \int_0^\infty \frac{\omega - \omega_* (1 + \eta_i (\bar{E} - 3/2))}{\omega - \omega_D \bar{E}} e^{-\bar{E} \bar{E}^2} d\bar{E} = 0 \quad (5)$$

where  $\bar{E} = E/T_i$ . Numerical results of discrete eigenvalue  $\omega_0$  obtained by solving eq.(5) are plotted in the complex  $\omega$ -plane in Fig.1 for various values of  $\epsilon_n$  and  $\eta_i$ . As seen in Fig.1, as  $\epsilon_n$  and/or  $\eta_i$  increases, the discrete eigenvalue tends to the negative real axis. This behavior is similar to the more accurate double integral dispersion relation<sup>(11)</sup>.

The continuum on the negative real axis is the same as the continuously infinite set of the toroidal drift resonance condition:

$\omega = \omega_D E$  for  $0 < E < \infty$ . That is, the negative real axis is the continuous eigenvalue spectrum of the solution (1) without transition. As  $\epsilon_n$  and/or  $\eta_i$  increases, the discrete eigenvalue tends to this continuum and vanishes. The continuous eigenvalue spectrum may be considered as the continuously infinite set of steady oscillating modes. Since discrete mode and continuous modes are orthogonal to each other, the continuous eigenvalue does not affect the dispersion relation  $\text{Des}(\omega_0) = 0$ . Although the continuum has no direct effect to the discrete mode or the  $\eta_i$ -mode stability, it may play an important role for plasma transport.

### §3. Cross Field Ion Thermal Flux

We consider the effects of the discrete  $\eta_i$ -mode eigenvalue and the continuous eigenvalue on the cross field ion thermal flux defined by (14)

$$Q_i = \int d^3v \langle E \tilde{v}_x \tilde{f} \rangle = -\chi_i n \frac{dT_i}{dr} \quad (6)$$

where  $\tilde{v}_x$  is the radial component of ExB-drift velocity and the angular brackets represent the ensemble average. We apply the Fourier representation for the perturbation of the form

$$\tilde{f} = \sum_{k,\omega} f_{k\omega} e^{-i\omega t + ikr} \quad (7)$$

Introducing the Fourier representation of  $\tilde{v}_x$  and  $\tilde{f}$  in eq. (6), and applying the random phase approximation (15), we have the flux in the form

$$Q_i = \sum_{k,\omega} \int d^3v v_{k,\omega}^* f_{k\omega} E \quad (8)$$

Substitution of the velocity  $v_{k,\omega}^* = -ik_y c \phi / B$  and eq. (1) without  $k_{\parallel} v_{\parallel}$  for  $f_{k\omega}$  into eq. (8) we have

$$Q_i = \sum_{k,\omega} \left| \frac{e\phi}{T} \right|_{k,\omega}^2 c \frac{k_y T_i}{eB} n T_i \hat{Q}(k,\omega) \quad (9)$$

where  $\hat{Q}$  is the normalized flux defined by

$$\hat{Q}_i^{\circ} = -\text{Im} \int d^3v \frac{\omega_0 - \omega + T_i}{\omega_0 - \omega_D} F_M \frac{E}{T_i} J_0^2(\alpha) \quad (10)$$

For the discrete  $\eta_i$ -mode eigenvalue  $\omega_0 = \omega_r + i\gamma$ , the growth rate  $\gamma$  gives

the real ion heat flux:

$$\hat{Q}_o = \int d^3v \frac{(\omega_D - \omega_* T) r}{(\omega_r - \omega_D)^2 + r^2} J_o^2(\alpha) F_M \frac{E}{T_i} \quad (11)$$

Far away from the marginal state,  $\eta_i \gg \eta_c$ , the growth rate may be of the interchange type  $\gamma = (\omega_* \omega_D \eta_i)^{1/2}$ . For this  $\eta_i$ -mode, assuming  $\omega_r \ll \gamma$  and  $\omega_D \ll \omega_*$ , we have from eq. (11) for  $b=0$

$$\hat{Q}_o = \left( \frac{\eta_i - \eta_c}{2\epsilon_n} \right)^{1/2} \quad (12)$$

The sum over the wave number  $k$  in eq. (9) is replaced by the maximum value:  $|e\phi/T|^2 / (k_L L)^{-2}$ , which occurs in the range of  $0.1 < k_L \rho_i < 0.3$  (14). For the discrete mode, the sum over the frequency is just replaced by the single terms. If we approximate the characteristic scale length  $L$  by  $L = (R L_T)^{1/2}$  (5) the heat flux may be written in the form

$$Q_i = \lambda \frac{n T_i}{L_T} \frac{v_i \rho_i^2}{R} \hat{Q}_o = -n \chi_i \frac{dT_i}{dr} \quad (13)$$

where  $1/L_T = -dl_n T_i / dr$ , the constant  $\lambda$  depends on the value of  $k_y \rho_i$ , and  $\chi_i$  is the ion heat conductivity. From eq. (13), we have the ion heat conductivity in terms of  $\hat{Q}$ :

$$\chi_i = \lambda \frac{v_i \rho_i^2}{R} \hat{Q} \quad (14)$$

Substitution of eq. (12) into eq. (14) yields the heat conductivity due to the discrete  $\eta_i$ -mode,

$$\chi_i^o = \lambda \frac{v_i \rho_i^2}{R} \left( \frac{\eta_i - \eta_c}{2\epsilon_n} \right)^{1/2} \quad (15)$$

where the coefficient  $\lambda$  is an adjustable parameter. Although eq. (15) is derived under many assumptions, the radial profile of eq. (15) was close to the heat conductivity calculated consistently (13) with the dispersion relation (5) removing assumptions  $\omega_r \ll r$ ,  $\omega_D \ll \omega_*$  and  $\eta_i \gg \eta_c$ .

We now evaluate the continuum contribution to the thermal flux  $Q_i$ . In this case, the imaginary part due to the resonance, the second term of the formula

$$\frac{1}{\omega - \omega_D} = P \frac{1}{\omega - \omega_D} - i\pi \delta(\omega - \omega_D)$$

gives the real flux  $Q_i$ . We introduce the frequency power spectrum

function  $S(\omega)$  for the fluctuations:

$$\left| \frac{\exp}{T} \right|_{k,\omega}^2 = \frac{1}{k_{\perp}^2 L^2} S(\omega) \quad (16)$$

where  $S$  must satisfy the normalization condition  $\int d\omega S(\omega) = 1$ .

The sum over the frequency in eq.(9) should be replaced by an integral over the continuum  $C = \{\omega | -\infty < \omega < 0\}$ . The continuum contribution to the flux can be written in the form

$$Q_i^C = \frac{n T_i}{k_{\perp}^2 L^2} \frac{c T_i}{e B} \hat{Q}_C \quad (17)$$

where the normalized flux is defined by

$$\hat{Q}_C = \int_C d\omega S(\omega) \int d^3 v (\omega - \omega_{*T}) J_0^2(\alpha) F_M \frac{E}{T_i} \delta(\omega - \omega_D) \quad (18)$$

If we apply the same approximation;  $\omega_D = -\omega_D E / T_i$  as in the above, bearing in mind the relation

$$\int d^3 v F_M \dots = \frac{1}{\sqrt{\pi}} \int_0^1 \frac{d\lambda}{\sqrt{1-\lambda}} \int_0^{\infty} d\bar{E} \bar{E}^2 e^{-\bar{E}} \dots \quad (19)$$

We have

$$\hat{Q}_C = \frac{\sqrt{\pi} \omega_{*e}}{\tau \omega_S} \left\{ (\eta_i - 2\epsilon_n) I_2 + \left(1 - \frac{3}{2} \eta_b\right) I_4 \right\} \quad (20)$$

where  $\lambda = \mu B / E$ ,  $\mu = M v^2 / 2B$ , and  $I_j$  is the moment defined by

$$I_j = \omega_S \int_0^{\infty} d\omega S(-\omega_D \omega) \omega^{2+\frac{1}{2}j} e^{-\omega} \int_0^1 \frac{d\lambda}{\sqrt{1-\lambda}} J_0^2(\sqrt{2b\lambda\omega}) \quad (21)$$

Here  $\omega_S$  is the characteristic frequency which represents the width of frequency power spectrum  $S(\omega)$ .

The integral which represents the finite Larmor radius effect in eq.(21)

$$g(Z) = \int_0^1 \frac{d\lambda}{\sqrt{1-\lambda}} J_0^2(\sqrt{Z\lambda}) \quad (22)$$

may be approximated by  $2J_0^2(Z^{1/2})$  when  $Z$  is small. For the sake of simplicity, however, in what follows we neglect the finite Larmor radius effect. In this case,  $g(0) = 2$ .



#### S4. Example of Frequency Power Spectrum of Fluctuations

We now proceed to the evaluation of the flux  $\hat{q}_C$  and heat conductivity  $\chi_i$  for two different frequency spectrum functions  $S(\omega)$ .

##### Cut-off Power Spectrum

First we consider the simplest case of the cut-off type defined by

$$S(\omega) = \begin{cases} \frac{1}{2\omega_s} & \text{for } |\omega| \leq \omega_s \\ 0 & \text{otherwise} \end{cases} \quad (23)$$

In this case, the moment integral defined by eq.(21) becomes

$$I_j = \int_0^{\omega_s} \frac{1}{\omega^2} \omega^{l+j} e^{-\omega} d\omega. \quad (24)$$

In the case of the white noise,  $\omega_s \rightarrow \infty$ , eq.(24) reduces to  $I_0 = \pi^{1/2}/2$ ,  $I_1 = 3I_0/2$  and  $I_2 = 5I_1/2$ . However, from eq.(20),  $\hat{q}_C \rightarrow 0$  as  $\omega_s \rightarrow \infty$ . Since the integrand in eq.(24) decays fast as  $\omega$  becomes large, the integrals  $I_j$  can be approximated by the infinite integrals as shown in the above even when  $\omega_s$  is finite. For  $\omega_s/\omega_D > 3$ , the moments are almost the same as the infinite integrals. Therefore, if we set the width of frequency spectrum as  $\omega_s = 3\omega_D$ , eq.(20) yields

$$\hat{q}_C = \frac{\sqrt{\pi}}{4\epsilon_n} (\eta_i + 1 - 5\epsilon_n) \quad (25)$$

The inward transport contribution  $-5\epsilon_n$  in the brackets in eq.(25) comes from the first term in the factor  $\omega - \omega_{*T}$  in eq.(18). When the continuum C extends to the whole real axis, this term vanishes. The nonvanishing of this inward transport term, therefore, is due to the characteristic of ion toroidal drift resonance.

If we assume a different kind of spectrum width:  $\omega_s = \omega_{*}/\tau$ , then the integral limit in eq.(24) becomes  $\omega_s/\omega_D = 1/2\epsilon_n$ . In this case too, when  $2\epsilon_n \ll 1$ ,  $I_j$  may be approximated by the infinite integral, and eq.(20) yields

$$\hat{q}_C = \frac{3}{4}\pi (\eta_i + 1 - 5\epsilon_n) \quad (26)$$

Near the plasma boundary,  $\epsilon_n \ll 1$ . Therefore eq.(26) may be applicable for the plasma edge. As seen in eqs.(25) and (26) depending on the spectrum width  $\omega_s$ , the functional form of the continuum contribution  $\hat{q}_C$  changes.

### Gaussian power spectrum

Let us consider the Gaussian type power spectrum defined by

$$S(\omega) = \frac{1}{\omega_S \sqrt{\pi}} \exp\left(-\left(\frac{\omega}{\omega_S}\right)^2\right) \quad (27)$$

In this case, from eq. (21) for  $b=0$ , we have the moment integral

$$I_j = \frac{1}{\sqrt{\pi}} \int_0^\infty d\omega \omega^{\frac{1}{2}+j} e^{-\omega} \exp\left(-\left(\frac{\omega_P}{\omega_S}\right)^2 \omega^2\right) \quad (28)$$

Values of moments  $I_1$  and  $I_2$  sharply decrease as the spectrum width  $\omega_D/\omega_S$  increases.

When  $\omega_S \gg \omega_D$ , eq. (28) reduces to eq. (24) divided by  $\pi^{1/2}$ . In this limit,  $I_j$  are analytically calculable, for example,  $I_1=3/4$  and  $I_2=15/8$ . When  $\omega_S = m\omega_D$ , with  $m \gg 1$  eq. (20) can be approximated by

$$\hat{q}_C = \frac{3\pi^{1/2}}{8m\epsilon_n} (\eta_i + 1 - 5\epsilon_n) \quad (29)$$

For  $m=3$ , as compared with the case of cut-off power spectrum given by eq. (25), the flux given by eq. (29) is smaller by a factor 2.

If we assume  $\omega_S = \omega_* / \tau$ , the spectrum width parameter becomes  $(\omega_D/\omega_S)^2 = 4\epsilon_n^2$ . When  $4\epsilon_n^2 \ll 1$ , i.e., near the plasma edge, eq. (20) is approximated by

$$\hat{q}_C = \frac{3}{4} \pi^{1/2} (\eta_i + 1 - 5\epsilon_n) \quad (30)$$

which is smaller by a factor  $\pi^{1/2}$  as compared with the case of cut-off spectrum given by eq. (26). As seen in the above, the functional form of the flux  $\hat{q}_C$  induced by the continuum C does not change as we change the frequency power spectrum function  $S(\omega)$ . The functional form of  $\hat{q}_C$ , however, changes when we change the scaling of the width  $\omega_S$  for the same function  $S(\omega)$ .

For the case  $\omega_S = m\omega_D$ ,  $\omega_S \rightarrow \infty$  as  $r \rightarrow 0$  because  $\omega_D$  is inversely proportional to  $r$ . In this case, the frequency power spectrum becomes white noise, i.e., no particular instability, and from eq. (20), the normalized flux  $\hat{q}_C$  tends to zero at the center. This seems to be physically reasonable because there is no source of instability at the center. Near the plasma edge  $r \rightarrow a$ , on the other hand, the spectrum width  $\omega_S$  becomes almost independent of  $r$  which

also seems to be consistent with experimental situations.

For the case of  $\omega_S = \omega_*/\tau$ , on the contrary, the spectrum width  $\omega_S$  may be almost constant at the center  $r=0$ , because  $rL_N$  becomes a constant as  $r \rightarrow 0$ . Near the plasma edge, however,  $\omega_S \rightarrow \infty$  since  $L_N \rightarrow 0$  as  $r \rightarrow a$ , i.e., the power spectrum width sharply increases and tends to infinity, which is not likely to happen in experimental situations. The former case,  $\omega_S = m\omega_D$ , therefore, i.e., eq.(25) or eq.(29) may be more suitable for actual experimental situations.

If we introduce eq.(25) or eq.(29) into eq.(17), we have the continuum contribution to ion heat conductivity

$$\chi_i^c = \lambda \frac{v_i \rho_i^2}{R} \left( \frac{\eta_i + 1}{\epsilon_n} - 5 \right) \quad (31)$$

Expression (31) is equivalent to so called gyro-Bohm form:  $\chi_i = \rho_i^2 v_i / L_N$ . In this case the flux  $\hat{q}_c$  and also the heat conductivity sharply increase near the edge. Substitution of eq.(26) or eq.(30) into eq.(17) yields

$$\chi_i^c = \lambda \frac{v_i \rho_i^2}{R} (\eta_i + 1 - 5\epsilon_n) \quad (32)$$

We now evaluate the radial profiles of the normalized flux  $q$  and corresponding heat conductivity  $\chi_i$  assuming simple parabolic type profiles, respectively, for density and temperature:

$$n = (n_o (1-x^2)^{\alpha_n} + n_b) / (n_o + n_b) \quad (33)$$

$$T = (T_o (1-x^2)^{\alpha_T} + T_b) / (T_o + T_b) \quad (34)$$

The variation of ion temperature profile as a function of profile parameter  $\alpha_T$  is presented in Fig. 2 as a three dimensional surface graphics. The density profile  $n(x)$  as a function of  $\alpha_n$  is exactly the same as  $T_i(x)$  in Fig.2. The variation of the density profile parameter  $\epsilon_n(x)$  is also presented as a surface graphics in Fig. 3. The variation of profile parameter  $\eta_i(x)$  is presented as a surface graphics in Fig. 4a for  $\alpha_n=0.5$ ,  $n_b=0$  and  $T_b=0.1$ . When the density boundary value is not zero,  $n_b=0.1$ , while the ion temperature boundary value is zero,  $T_b=0$ , the profile parameter  $\eta_i$  increases near the edge as shown in Fig. 4b.

The variation of normalized flux  $\hat{q}_o$  by the discrete  $\eta_i$ -mode given

by eq.(12) is shown in Fig.5. The variation of normalized flux  $\hat{q}_C$  due to the continuum contribution given by eq.(25) is also shown in Fig.6 for the same profile parameter  $\alpha_n=0.5$ . The sharp increase of  $\hat{q}_C$  near the periphery is due to the factor  $\eta_i/\epsilon_n$  in eq.(25).

The ion heat conductivity  $\chi_i$  has, as seen in eq.(14), the gyro-Bohm coefficient  $\rho_i^2 v_i$  which is proportional to  $T_i^{3/2}/B^2$ . Due to the factor  $T_i^{3/2}$ , the ion heat conductivity  $\chi_i$  usually sharply decreases as we go to the plasma edge,  $r \rightarrow a$ , when the variation  $\hat{q}$  is mild. This is the case of  $\chi_i^0$  given by eq.(15) as shown by a surface graphics in Fig.6. Due to the factor  $T_i^{3/2}$ , the peak in  $\chi_i^0$  is shifted toward the center as compared with that of  $\hat{q}_0$  as seen in Figs. 6 and 7. In the calculation of heat conductivity  $\chi_i$ , the value of  $\lambda \rho_i^2 v_i / R$  at the center is assumed to be unity ( $1 \text{ m}^2/\text{sec}$ ) in all cases. In the case of continuum contribution,  $\chi_i^C$  given by eq.(32), however, increases sharply in the periphery as seen in Fig.8. In large tokamaks, experimentally observed heat conductivity in the L-mode discharge sharply increases in the periphery<sup>(3)</sup>. The continuum contribution presented in Fig.8 may, therefore, be applied for interpretation of the edge transport phenomenon observed in large tokamaks.

For the different boundary condition with  $n_b=0.1$  and  $T_b=0$ , however, the variation of the heat conductivity  $\chi_i^C$  changes as shown by the surface graphics in Fig. 9. Notice that the variation of  $\chi_i^C$  near the edge changes depending on  $\alpha_T$  as seen in Fig. 9, i.e., for small  $\alpha_T$   $\chi_i^C$  shows the L-mode character, but for larger  $\alpha_T$ ,  $\chi_i^C$  decreases toward the periphery which might corresponds to the case of high-Ti discharge in JT-60<sup>(3)</sup>. The surface graphics of  $\chi_i^C$  with different scaling of the frequency power spectrum width given by eq.(32) is presented in Fig.10. The heat conductivity should always be positive. The negative  $\chi_i^C$  in the above results came from the negative flux  $\hat{q}_C$ . The negative  $\chi_i^C$  may, therefore, be interpreted as the inward ion flow.

So far the density profile parameter  $\alpha_n$  is almost fixed at  $\alpha_n=0.5$ . For larger  $\alpha_n$ , the variation of heat conductivity  $\chi_i^C$  in general is

nearly the same, but the magnitude of  $\chi_1^c$  increases.

### §5. Effect of Transit Resonance Continuum

In the previous sections, we neglected ion transit frequency  $k_{\parallel} v_{\parallel}$  in the resonance denominator in eq.(1). In this section, we take into account the effect of transit ions. When both toroidal drift and transit effects are taken into account, the corresponding dispersion relation is described by the double integral form as given by eq.(4). Although the transit ion is stabilizing when the transit time is shorter than the growth time of the discrete mode, the unstable  $\eta_1$ -mode exists<sup>(6) (7)</sup> even when the transit effect presents.

When the transit frequency is introduced, the continuous eigenvalue spectrum induced by the resonance condition  $\omega = \omega_D + k_{\parallel} v_{\parallel}$  extends to the whole real axis in the complex  $\omega$ -plane. Due to the different velocity dependences of  $\omega_D$  and  $k_{\parallel} v_{\parallel}$ , the continuum induced by this resonance has "multiplicity" in the negative real axis. To remove this complexity and concentrate to the transit resonance continuum effect, we neglect  $\omega_D$  and consider the continuum induced by the resonance condition  $\omega = k_{\parallel} v_{\parallel}$ .

We evaluate the cross field ion thermal flux induced by this continuum. The normalized flux in eq.(8), in this case, may be written in the form

$$\hat{Q}_c = \int_{-\infty}^{\infty} d\omega S(\omega) \pi \int d^3v (\omega - \omega_*) J_0^2(\alpha) F_M \frac{E}{T_i} \delta(\omega^2 - k_{\parallel}^2 v_{\parallel}^2) \quad (35)$$

Carrying out the velocity space integration for the Maxwellian plasma, we have

$$\hat{Q}_c = \frac{1}{\pi^{1/2}} \int_{-\infty}^{\infty} d\omega S(\omega) \left( \omega + \frac{\omega_*}{\tau} \right) e^{-w^2} \left\{ w^2 \Gamma_0 + \Gamma_0 + b(\Gamma_1 - \Gamma_0) \right\} \quad (36)$$

where  $w = \omega/\omega_t$  and  $\eta_1 = 0$  is also assumed for the sake of simplicity. When  $S(\omega)$  is even, the first term  $\omega$  in the brackets in eq.(3) vanishes.

For the cut-off power spectrum, eq.(36) reduces to

$$Q_c = \frac{\omega_*}{2\tau\omega_s} \left[ \left( \frac{3}{2}\Gamma_0(b) + b(\Gamma_1 - \Gamma_0) \right) \Phi\left(\frac{\omega_s}{\omega_t}\right) - 2\frac{\omega_s}{\omega_t} e^{-\left(\frac{\omega_s}{\omega_t}\right)^2} \right] \quad (37)$$

where  $\Gamma_n(b) = I_n(b) \exp(-b)$ ,  $I_n$  is the modified Bessel function, and  $\Phi$  is the probability function defined by

$$\Phi(z) = \frac{2}{\pi^{1/2}} \int_0^z dt e^{-t^2}$$

When the spectrum width is large as compared with the ion transit frequency:  $\omega_s \gg \omega_t$ ,  $\Phi$  tends to unity, and eq.(37) reduces to

$$\hat{Q}_c = \frac{\omega_*}{2\tau\omega_s} \left\{ \frac{3}{2}\Gamma_0(b) + b(\Gamma_1 - \Gamma_0) \right\} \quad (38)$$

In the white noise limit  $\omega_s \rightarrow \infty$ ,  $\hat{Q}_c \rightarrow 0$ . Introducing eq.(38) into eq.(17), we have for  $b \ll 1$

$$\chi_i^c = \lambda \frac{\rho_i^2 v_i}{R} \cdot \frac{\omega_*}{\tau\omega_s} \left( 1 - \frac{5}{3}b \right) \quad (39)$$

In this case too, the scaling changes depending on how we choose the frequency power spectrum width parameter  $\omega_s$ . If we choose  $\omega_s = m\omega_D$ ,  $\chi_i^c$  increases sharply toward the periphery as in the case of the toroidal drift resonance.

## §6. Summary and Discussion

The cross field ion thermal fluxes due to the ion temperature gradient mode and the continuous eigenvalue spectrum induced by the toroidal ion drift resonance have been evaluated by assuming the toroidal ion drift frequency  $\omega_D$  is proportional to ion energy  $E$ .

Assuming the relation  $|\epsilon\phi T_{\perp}|^2 = S(\omega)/k_{\perp}^2 L^2$  with  $L^2 = RL_T$  and  $k_{\perp} \rho_i = \text{const.}$ , the ion heat conductivity  $\chi_i$  is expressed in term of the gyro-Bohm coefficient with the normalized flux  $\hat{Q}$  as given by eq.(14). Since  $\chi_i^0$  induced by the discrete  $\eta_i$ -mode as given by eq.(15) is peaked in the intermediate region as seen in Fig. 7, the discrete  $\eta_i$ -mode may be applicable to the interpretation of of experimental observations at least in the intermediate region<sup>(3)</sup>. On the other hand,  $\chi_i^c$

induced by the continuum contribution as given by eq.(31) increases sharply toward the edge, eq.(31) may be applicable to the interpretation of the experimental observation, sharp increase of  $\chi_i$ , in the periphery. In the central region, the ion heat conductivity given by the neoclassical theory may be applicable.

The profile of  $\chi_i$  strictly depends on the assumptions we have made. The most important assumption for the profile of  $\chi_i$  is the characteristic scale length  $L$ . If we assume  $L^2=L_n L_T$ , eq.(14) changes to

$$\chi_i = \lambda \frac{\rho_i^2 v_i}{R} \cdot \frac{\hat{q}}{\epsilon_n} \quad (40)$$

While if  $L=L_n$  is assumed as in usual theory, eq.(14) is transformed to

$$\chi_i = \lambda \frac{\rho_i^2 v_i}{R} \cdot \frac{\hat{q}}{\epsilon_n \eta_i} \quad (41)$$

If we apply eq.(40) or eq.(41), due to the factor  $1/\epsilon_n$ ,  $\chi_i$  may sharply increase in the periphery. The assumption that  $k_\perp \rho_i = \text{const.}$  independent of  $r$  also contradicts with the variation of  $T_i$ , because  $\rho_i$  is proportional to  $T_i^{1/2}/B$ . The functional form of  $\hat{q}_C$  also depends on the scaling of the width of frequency power spectrum function  $S(\omega)$ , as shown in eqs.(31) and (32).

The gyro-Bohm coefficient  $v_i \rho_i^2 / R$  is used in common to express the ion heat conductivities (15), (31) and (39), respectively induced by the discrete  $\eta_i$ -mode, toroidal drift resonance continuum and transit resonance continuum. This coefficient is derived under the assumptions of  $k_\perp \rho_i = \text{const.}$  and  $L = R L_T$  as mentioned in the above. Without these assumptions,  $\chi_i$  can be expressed by  $\chi_i = D_B / k_\perp L$  where  $D_B = cT/eB$  is the Bohm diffusion coefficient. If we assume  $S(\omega) = 1$ , this expression can be rewritten by

$$\chi_i = \left| \frac{e\phi}{T} \right| D_B$$

This expression is the same as the upper bound of the diffusion coefficient due to electrostatic turbulence derived by the resonance broadening nonlinear treatment<sup>(17)</sup>.

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## Figures Captions

- Fig. 1: Variation of discrete  $\eta_1$ -mode eigenvalue in the complex  $\omega$ -plane for  $\omega_c=0$ ,  $b=0$ , and various values of  $\epsilon_n$  and  $\eta_1$ .
- Fig.2: Surface graphics of ion temperature profile as a function of profile parameter  $\alpha_T$  for  $T_b=0$ .
- Fig.3: Surface graphics of  $\epsilon_n$ -profile as a function of profile parameter  $\alpha_n$  for  $n_b=0$  and  $\epsilon=0.29$ .
- Fig.4a: Surface graphics of  $\eta_1$ -profile as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0$  and  $T_b=0.1$ .
- Fig.4b: Surface graphics of  $\eta_1$ -profile as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0.1$  and  $T_b=0.1$ .
- Fig.5: Surface graphics of normalized flux  $\hat{q}_0^D$  due to discrete  $\eta_1$ -mode as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0$ , and  $T_b=0.1$ .
- Fig.6: Surface graphics of normalized flux  $\hat{q}_0^C$  due to continuum contribution as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0$ , and  $T_b=0.1$ .
- Fig.7: Surface graphics of ion heat conductivity  $\chi_1^D$  due to discrete  $\eta_1$ -mode as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0$  and  $T_b=0.1$ .
- Fig.8: Surface graphics of ion heat conductivity  $\chi_1^C$  due to continuum contribution as a function of profile parameter  $\alpha_T$  for  $\alpha_n=0.5$ ,  $n_b=0$  and  $T_b=0.1$ .
- Fig.9: Surface graphics of ion heat conductivity  $\chi_1^C$  due to continuum contribution for  $\alpha_n=0.5$ ,  $T_b=0$  and  $n_b=0.1$ .
- Fig.10: Surface graphics of ion heat conductivity  $\chi_1^C$  due to continuum contribution with  $\omega_s=\omega^*$  for  $\alpha_n=0.5$ ,  $T_b=0$  and  $n_b=0.1$ .

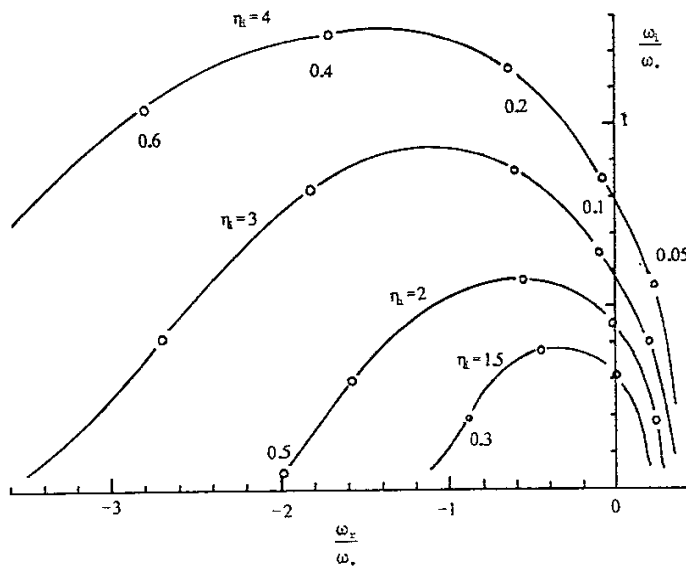


Fig. 1

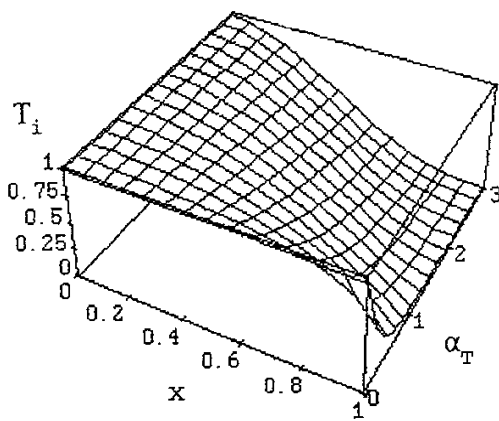


Fig. 2

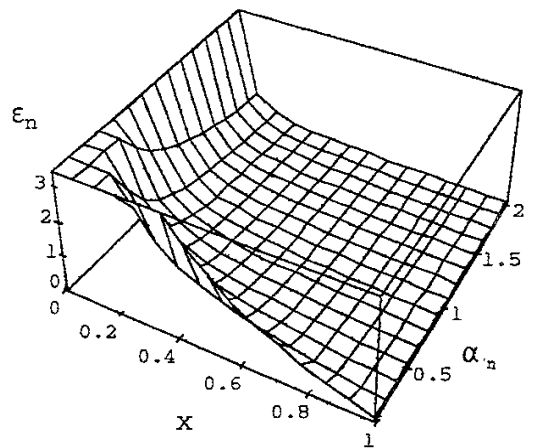


Fig. 3

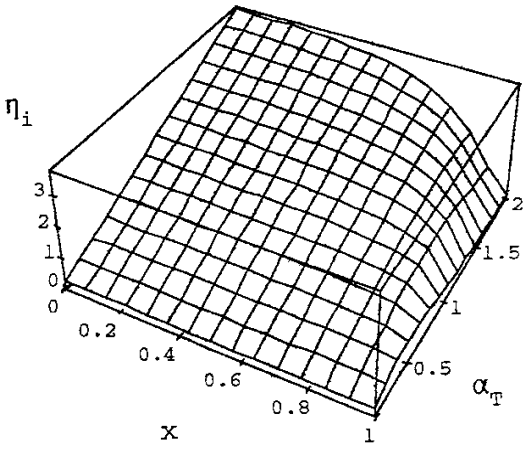


Fig. 4a

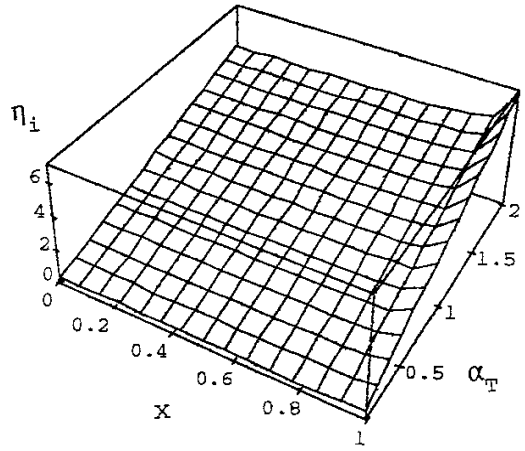


Fig. 4b

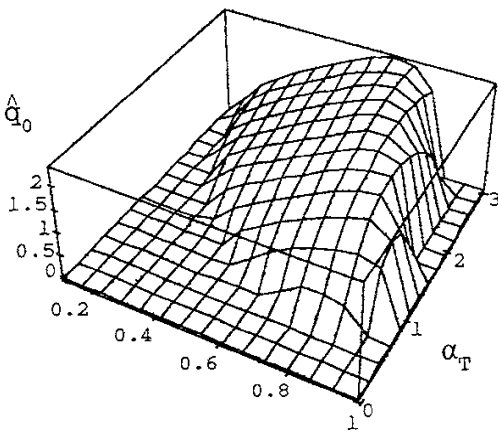


Fig. 5

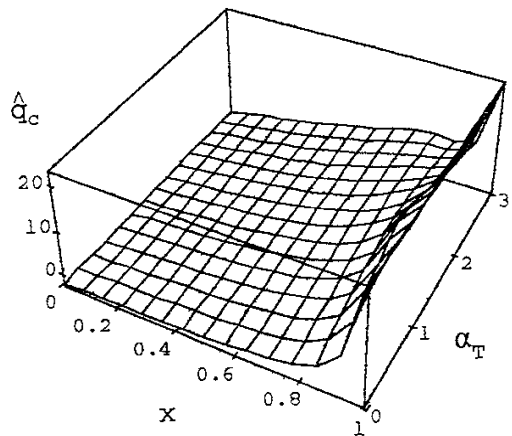


Fig. 6

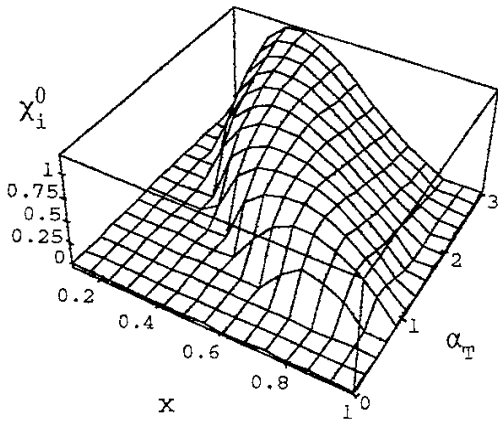


Fig. 7

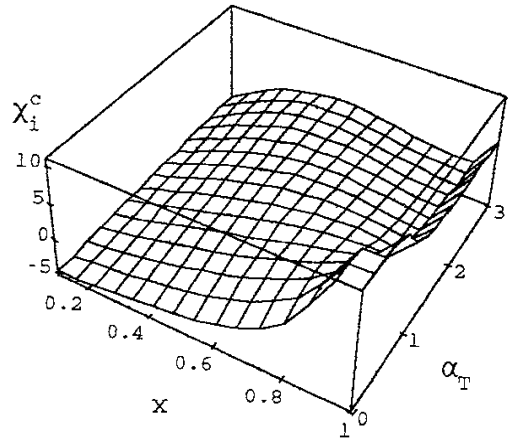


Fig. 8

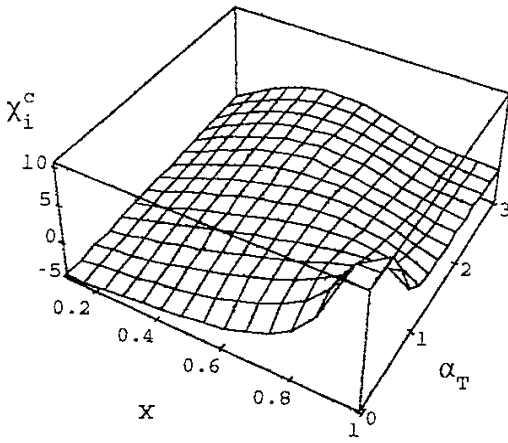


Fig. 9

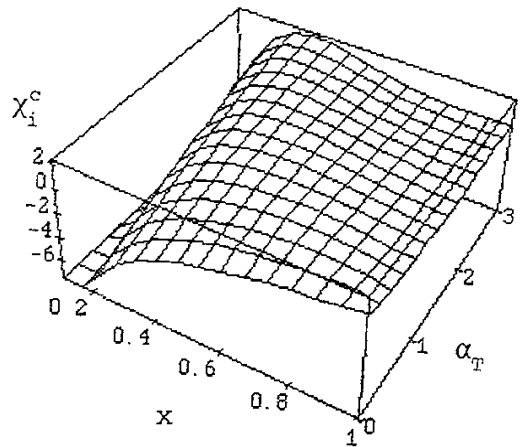


Fig. 10

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