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**RESEARCH REPORT**  
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# Low-Dimensional Model of Resistive Interchange Convection in Magnetized Plasma

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## Abstract

Self-organization and generation of large shear flow component in turbulent resistive interchange convection in magnetized plasma is considered. The effect of plasma density-electrostatic potential coupling via the inertialess electron dynamics along the magnetic field is shown to play significant role in the onset of shear component. The results of large-scale numerical simulation and low-dimensional (reduced) model are presented and compared.

**Keywords:** plasma transport, turbulent convection, Edge Localized Modes.

## 1. INTRODUCTION

One of the serious problem in thermonuclear plasma confinement is anomaly of particle and energy transport caused by plasma convection across the magnetic field. This convection is driven by free energy release during the growth of perturbations in generically unstable thermonuclear plasma.

The most dangerous consequences for plasma confinement might arise from the development of magnetic perturbation which can cause rearrangement of magnetic configuration and trigger its complete disruption. For this reason, magnetic configuration and plasma parameters are usually chosen in the way when such a perturbation is as much suppressed as possible. There are many experimental evidences that, indeed, in large tokamaks and stellarators the magnetic perturbations are basically absent, especially in the peripheral plasma near magnetic separatrix and scrape-off-layer (SOL).

In the absence of magnetic perturbation, plasma convection across strong confining magnetic field is mainly controlled by electrostatic field and pressure gradient forces which cause drift vortex flow perpendicular to both the magnetic field and the force. This flow, in turn, leads to self-consistent spatial redistribution of plasma density and electrostatic potential. Within one-turn-over time scale, such a non-linear vortex flow becomes complicated and is usually treated in terms of drift turbulence. The corresponding turbulent convection is widely believed to be the cause of transport anomaly in magnetized plasma. In such a scenario, the stronger

fluctuations of plasma density and electrostatic potential correspond to higher convective transport, i.e., exactly what is observed in the low-confinement (L) mode in tokamaks and other machines.

In contrast to L-mode, there also exists high-confinement (H) mode of operation when fairly intensive turbulent convection near the separatrix spontaneously undergoes drastic self-reorganization with consequent improvement in plasma confinement. Depending on plasma parameters, the transition from L- to H- regime may occur in reversible way in the form of edge-localized modes (ELMs). In this case, the configuration spontaneously, but repeatedly, returns to L-regime with further transition to H-mode and so on.

A key and universal feature of the improved confinement mode observed in various plasmas in tokamaks (1-6), stellarators (7-10) and linear machine (11) is the generation of radial electric field and poloidal shear flow (here radial direction corresponds to minor radius of toroidal plasma, i.e., the direction of confinement, while the poloidal direction corresponds to minor bypass around magnetic axis). Generation of shear flow is usually accompanied by considerable suppression of plasma density and potential fluctuations, so that the convective turbulent transport is essentially reduced as well. Respectively, a mechanism for self-consistent shear flow generation is a necessary moment in understanding the physics of the observed L-H transition.

Concerning this problem, two different scenarios are usually considered: either i) generation of radial electric field via the process of charged particle orbit loss (i.e., the kinetic effect), or ii) shear flow self-generation via nonlinear interaction and reconnection of the instability-driven convective cells (see (12-14)). The latter seems to be strong candidate because it is based on a robust effect which could be easily treated in terms of drift plasma fluid dynamics. The details of nonlinear interaction of driving instability and self-consistently generated shear flow depend, of course, on the peculiarities of the considered plasma dynamics.

In the case of edge localized modes (ELMs) in the scrape-off layer (SOL) plasma, which is our main concern in the present paper, experimentally observed edge plasma perturbations are usually of a flute-type character, i.e., look like a "dense" or "hot" plasma filament strongly elongated along the magnetic field (see, e.g., (15,16)). They are localized at the outside of the torus and exist even in the case when pressure gradient near the edge plasma is well below the ideal ballooning stability threshold (hence, no considerable magnetic perturbation is developed). Such a features indicate that driving instability in the SOL is, probably, a kind of pressure-driven resistive interchange (RI) mode akin to a flute-like mode in open systems (this is because of a lack of closed magnetic surfaces in the SOL). Interchange instability is also akin to Rayleigh-Taylor instability in stratified fluid, so that one can expect the onset of Bénard-like convection at the nonlinear stage of interchange instability. Indeed, proposed in (17) and also considered in (18-22), the model of self-consistent generation of Bénard-like convective cells with their periodic rearrangement into the shear flow at the nonlinear stage of RI-instability reproduces qualitatively well many important features of the ELMs.

In the present paper we consider further development of this model mainly in the part concerning the effect of plasma density - electrostatic potential coupling, i.e., a tendency to establish Boltzman distribution, which arises as a result

of dynamical force balance for inertialess electrons in the presence of pressure and potential inhomogeneity along the field lines. This is a well-known effect which plays important role. For example, in drift plasma dynamics based on Hasegawa-Mima equation. The point, however, is that the Boltzmann coupling is usually considered for the poloidally periodical perturbations only. I.e., only the deviations of plasma density and potential from their poloidally averaged background profiles are assumed to be inhomogeneous along the magnetic field and, hence, coupled via electron longitudinal dynamics. At the same time, poloidally averaged background profiles, as themselves, are supposed to be longitudinally homogeneous. In the bulk plasma with closed magnetic surfaces this assumption is quite reasonable because the poloidal averaging, in this case, corresponds simultaneously to the averaging along magnetic field line. But in the case of SOL plasma with open magnetic field lines the procedure of poloidal averaging does not necessarily correspond to the averaging along the field line, and the background pressure and potential profiles are not necessarily homogeneous along the magnetic field. In the toroidal SOL plasma such a longitudinal inhomogeneity of the poloidally averaged profiles seems to be quite natural because of a certain asymmetry of the outer and inner parts of the torus. Indeed, let us assume for a while that there exists longitudinally homogeneous poloidally averaged pressure profile in the SOL plasma, and that this profile is unstable against, say, RI-mode, otherwise there is no driving force for turbulent convection. This convection, in turn, causes deformation of the background pressure profile, namely, its flattening, within a time scale of about one-turn-over time of the convective cell,  $\tau_{\perp} \sim \frac{l_{\perp}}{v_{*}}$  (here  $l_{\perp}$  is the characteristic scale length of convective cell, and  $v_{*}$  is drift flow velocity in the cell; in the case of highly nonlinear flow this velocity is about a sound speed  $c_s$ ). Then, in the toroidal geometry, the unfavourable curvature of the magnetic field lines, which is a driving force of the RI instability, is localized on the outside of the torus. Respectively, the growth of instability, accompanied by generation of convective cells, as well as the corresponding flattening of pressure profile, takes place outside of the torus only, while in the rest part of the SOL no driving force exists, and both the plasma convection and pressure profile flattening are considerably suppressed. Hence, the flattened background, i.e., poloidally averaged, pressure profile might be longitudinally inhomogeneous with the characteristic scale length of inhomogeneity  $l_{\parallel}$  of the order of the torus length. Usually  $l_{\parallel} \gg l_{\perp}$ , and the corresponding characteristic time scale of heavy ion longitudinal dynamics,  $\tau_{\parallel}^{(i)} \sim \frac{l_{\parallel}}{c_s}$ , is much larger than the convection time scale  $\tau_{\perp}$ , i.e., the longitudinal ion dynamics does not play essential role and can be neglected. As for inertialess electrons, they react immediately to any force disbalance along the field line and redistribute their density in such a way that the longitudinal force balance is recovered, mostly through the appearance of longitudinal electrostatic field. This process inevitably imposes the changes in poloidally averaged profile of the electrostatic potential which, in turn, determines the poloidally averaged shear flow structure.

In the present paper, the described above mechanism of shear flow generation is considered in the simplest case of a slab SOL plasma geometry in the plane perpendicular to strong magnetic field, while the longitudinal plasma inhomogene-

outy and corresponding differential operators are treated in a "finite-difference" or "single-mode" approximation. This allows to consider still two-dimensional problem with taking into account some important features of three-dimensional plasma dynamics.

The paper is organized as follows. In section 2 basic equations are introduced and the model is described with some details. In section 3 the results of large scale numerical simulation are presented which clearly demonstrate the onset of ELM-like activity and shear flow generation. Two different regimes controlled by either interchange instability or Boltzman coupling are found and discussed. In section 4 low-dimensional model of the phenomenon is considered. The conclusions are summarized in section 5.

## 2. BASIC EQUATIONS

In many respects, dynamics of scrape-off-layer plasma is described by two-fluid magnetohydrodynamic equations which are the continuity and momentum equations for the electron ( $e$ ) and ion ( $i$ ) components:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{v}^\alpha) = 0, \quad (1)$$

$$\frac{d\mathbf{v}^\alpha}{dt} = \frac{e_\alpha}{m_\alpha} (\mathbf{E} + \frac{1}{c} [\mathbf{v}^\alpha \times \mathbf{B}]) - \frac{1}{n_\alpha m_\alpha} \nabla P_\alpha + \frac{1}{\tau_{ei}} (\mathbf{v}^\beta - \mathbf{v}^\alpha) + \nu_\alpha \Delta \mathbf{v}^\alpha. \quad (2)$$

Here  $\frac{d\mathbf{v}^\alpha}{dt} \equiv \frac{\partial \mathbf{v}^\alpha}{\partial t} + (\mathbf{v}^\alpha \cdot \nabla) \mathbf{v}^\alpha$ ;  $\alpha = e, i$ ,  $\beta = i, e$ ;  $n_\alpha$ ,  $\mathbf{v}^\alpha$  and  $P_\alpha$  are density, velocity and pressure of the  $\alpha$ -th component, respectively;  $\tau_{ei}$  is characteristic momentum exchange time between ions and electrons closely related with plasma electric resistivity;  $\nu_\alpha$  is the kinematic viscosity coefficient; other notations are as usual.

Drift convection, which we are interested in, is relatively slow process in comparison with plasma oscillations, and charge separation effects are averaged out on the convective time scale. In this case, with high accuracy, plasma is assumed to be quasineutral,  $n_e = n_i = n$ , although an electrostatic potential of the plasma,  $\varphi$ , is not necessarily equal to zero. Slow evolution of the electrostatic potential is described by the equation for electric charge density,  $(n_i - n_e)$ , with averaged out fast time derivative term,

$$\nabla \cdot \mathbf{J} = 0, \quad (3)$$

where  $\mathbf{J} \equiv e(n_i \mathbf{v}_i - n_e \mathbf{v}_e)$  is an electric current density. In explicit form, the relationship between equation (3) and evolution of the electrostatic potential becomes clear when plasma dynamics, i.e., the velocity  $\mathbf{v}^{e,i}$ , is substituted explicitly (see equation (8)). Then, the electron continuity equation (1) can be considered as the equation for quasineutral plasma density  $n$ ,

$$\frac{\partial n}{\partial t} + \nabla_\perp \cdot (n \mathbf{v}_\perp) - \frac{1}{e} \nabla_\parallel J_\parallel = 0, \quad (4)$$

where "||" and "⊥" stand for the directions along and across the magnetic field  $\mathbf{B}$ , respectively, i.e., for example,  $\nabla_{\parallel} \equiv (\frac{\mathbf{B}}{B} \cdot \nabla)$ ,  $\nabla_{\perp} \equiv \nabla - \frac{\mathbf{B}}{B} \nabla_{\parallel}$  and so on.

Longitudinal part of the divergency  $\nabla(n\mathbf{v})$  in equation (4) is expressed in terms of electron longitudinal velocity only, i.e., in terms of longitudinal electric current,  $\nabla_{\parallel}(nv_{\parallel}) \approx -\frac{1}{e}(\nabla_{\parallel}J_{\parallel})$ , while the longitudinal dynamics of heavy ion is neglected.

In order to close the set (3) and (4) we have to express perpendicular flow velocity  $\mathbf{v}_{\perp}$  and longitudinal current density  $J_{\parallel}$  in terms of the principal variables  $n$  and  $\varphi$ .

Hereafter, we assume that the magnetic field perturbation is absent and, hence, only the potential electric field  $\mathbf{E} = -\nabla_{\perp}\varphi$  should be taken into account in equation (2). Then, steady-state magnetic field in the SOL is as strong as this is in the bulk plasma. So, in the main order of  $B^{-1}$  expansion, the perpendicular flow velocity  $\mathbf{v}_{\perp}$  corresponds to the  $[\mathbf{E} \times \mathbf{B}]$ -drift,

$$\mathbf{v}_{\perp} = \frac{c}{B^2}[\mathbf{E} \times \mathbf{B}] = \frac{c}{B^2}[\mathbf{B} \times \nabla_{\perp}\varphi] \quad (5)$$

Expression (5) follows from equation (2) with neglected pressure and inertia terms which, however, should be retained in equation (3) for the electrostatic potential (see below, equation (8)).

In the considered approximation, longitudinal component of electric current density is determined by longitudinal electron motion only and can be found from the momentum equation (2). For the inertialess electrons one obtains:

$$\frac{1}{\sigma}J_{\parallel} = -\nabla_{\parallel}\varphi + \frac{1}{en}\nabla_{\parallel}P_e, \quad (6)$$

where  $\sigma^{-1} = \frac{m_e}{ne^2\tau_{ei}} = \frac{4\pi}{\tau_{ei}\omega_{pe}^2}$  is plasma resistivity. The momentum exchange time scale  $\tau_{ei}$  is not specified in the present analysis, and it is sufficient to treat  $\sigma$  as a phenomenological plasma parameter ( $\sigma = \text{constant}$ ). For the same reason, it is sufficient to assume that plasma temperature  $T$  is a constant as well. Then the longitudinal part of electric current divergency can be written as

$$(\nabla_{\parallel}J_{\parallel}) \approx \sigma\nabla_{\parallel}^2(-\varphi + \frac{T}{e}\ln(n)). \quad (7)$$

Thus, in low-resistive,  $\sigma^{-1} \rightarrow 0$ , longitudinally inhomogeneous,  $\nabla_{\parallel} \neq 0$ , plasma the longitudinal electron dynamics tends to establish Boltzman distribution with strongly coupled plasma density and electrostatic potential,  $n \sim \exp(\frac{e\varphi}{T})$ . Note that equation (7) and, hence, Boltzman coupling effect are valid for the poloidally averaged  $n$  and  $\varphi$  as well.

Substituting expression (7) into equation (3) and also using the general equality  $(\nabla_{\perp} \cdot \mathbf{J}_{\perp}) \equiv \nabla_{\perp} \cdot \{ \frac{1}{B^2}[\mathbf{B} \times [\mathbf{J}_{\perp} \times \mathbf{B}]] \}$  with the magnetic pondermotive force  $[\mathbf{J}_{\perp} \times \mathbf{B}]$  taken from momentum equation (2), one obtains equation for plasma flow vorticity,  $rot_{\parallel}\mathbf{v}_{\perp} \approx \frac{c}{B}\nabla_{\perp}^2\varphi$  (or, equivalently, for the electrostatic potential). Namely,

$$\begin{aligned}
& \frac{\partial \nabla_{\perp}^2 \varphi}{\partial t} + \frac{c}{B} [\nabla_{\perp} \varphi \times \nabla_{\perp} \nabla_{\perp}^2 \varphi]_{\parallel} - (\nu_{\perp} \nabla_{\perp}^2 + \nu_{\parallel} \nabla_{\parallel}^2) \nabla_{\perp}^2 \varphi = \\
& = -\frac{TB^3}{m_i n c} [\nabla_{\perp} (\frac{1}{B^2}) \times \nabla_{\perp} n]_{\parallel} + \frac{\sigma B^2}{m_i n c^2} \nabla_{\parallel}^2 (-\varphi + \frac{T}{e} \ln(n)). \quad (8)
\end{aligned}$$

Here we neglect all the plasma inhomogeneity and inertia effects retaining only the most important ones: i) convective and diffusive vorticity transport (see the left hand side of equation (8)), ii) an effective "gravitational" driving force in a curved magnetic field,  $-\frac{TB^3}{m_i n c} [\nabla_{\perp} (\frac{1}{B^2}) \times \nabla_{\perp} n]_{\parallel}$ , and iii) Boltzman coupling term in the r.h.s., respectively.

There exists analogy between interchange instability in magnetized plasma and Rayleigh-Taylor instability in stratified fluid. It is based on the analogy between magnetic curvature effect and gravity action. Indeed, in the case of Rayleigh-Taylor instability, flow evolution is described by Euler equation,  $\frac{d\mathbf{v}}{dt} = -g\mathbf{e}_x - \frac{1}{\rho} \nabla P$ , where  $g$  is the gravitational acceleration along, say, the  $x$ -direction, and  $\rho$  is spatially inhomogeneous mass density. Respectively, the flow vorticity,  $rot \mathbf{v}$ , is driven by the forcing term  $\frac{\partial}{\partial t} rot \mathbf{v} \sim -[\nabla(\frac{1}{\rho}) \times \nabla P] \sim \frac{g}{\rho} [\mathbf{e}_x \times \nabla \rho]$  where it is assumed that, for slow fluid dynamics, pressure gradient is mainly determined by its hydrostatic quasi-equilibrium value,  $\nabla P \approx -g\rho\mathbf{e}_x$ . This gravitational driving force is obviously analogous to the magnetic curvature driving force in equation (8) with an effective "gravitational acceleration"  $g \sim \frac{T}{m_i} \frac{|\nabla_{\perp} B|}{B} \sim \frac{c_s^2}{R}$  (here  $R \sim l_{\parallel}$  is the tokamak major radius).

So far, we considered three-dimensional plasma dynamics. Let us now simplify the problem and reduce its dimensionality by representing the longitudinal differential operator  $\nabla_{\parallel}^2$  in its "finite-difference" form:  $\nabla_{\parallel}^2 \approx -l_{\parallel}^{-2}$ . This, actually, corresponds to a single-mode approach with the only characteristic harmonic in the direction along the magnetic field. Such an approach is quite reasonable unless the details of nonlinear flow (or waves) propagation along the field lines come to light. In the present qualitative analysis we neglect any longitudinal plasma dynamics, besides Boltzman coupling effect, and consider only "robust" longitudinal inhomogeneity in the form of a bump-like localization of the instability-driven convection mainly in the inner part of an open magnetic field line in the SOL, while outside the convection region, if seeing along the field line, there exist unperturbed (e.g., not flattened) background plasma density and potential profiles,  $n_b$  and  $\varphi_b$ , respectively. In the simplest case, we assume that no externally driven plasma flow exists in the SOL, i.e.,  $\varphi_b = 0$ . Then, without loss of generality, we also assume that  $|n - n_b| \ll n_b$  and, hence,  $\nabla_{\parallel}^2 \ln(n) \sim -l_{\parallel}^{-2} \frac{(n - n_b)}{n_b}$ . With such a simplifications, system (4) and (8) can be written in the following dimensionless form (see (17-26)):

$$\frac{\partial N}{\partial \tau} + [\nabla_{\perp} \Phi \times \nabla_{\perp} N]_Z + \frac{\partial \Phi}{\partial Y} - \sigma_2 (\Phi - N) = D_{\perp} \nabla_{\perp}^2 N, \quad (9)$$

$$\frac{\partial \nabla_{\perp}^2 \Phi}{\partial \tau} + [\nabla_{\perp} \Phi \times \nabla_{\perp} \nabla_{\perp}^2 \Phi]_z + g_B \frac{\partial N}{\partial Y} - \sigma_1 (\Phi - N) = \mu_{\perp} \nabla_{\perp}^4 \Phi. \quad (10)$$

Here  $\Phi \equiv \frac{e\varphi}{T}$ ,  $N \equiv \frac{(n - n_b)}{n_{b0}}$ ,  $n_{b0}$  and  $(\frac{dn_b}{dx})_0$  are the characteristic density and radial density gradient of the background SOL plasma,  $n_b \approx n_{b0}(1 + \frac{x}{n_{b0}}(\frac{dn_b}{dx})_0)$ . Then,  $x, y$  and  $z$  are the Cartesian coordinates which correspond locally to radial, poloidal and toroidal directions, respectively. Dimensionless coordinates in the perpendicular ( $\perp$ ) plane are  $X \equiv \frac{x}{x_0}$  and  $Y \equiv \frac{y}{x_0}$ , where  $x_0 \approx n_{b0} |(\frac{dn_b}{dx})_0|^{-1}$  is the SOL width. Dimensionless time  $\tau \equiv \frac{t}{t_0}$  is normalized by an effective Bohm diffusion time scale  $t_0 = x_0^2 (\frac{cT}{eB})^{-1}$ . In these notations, shear flow component corresponds to poloidally averaged poloidal velocity,  $\langle v_Y \rangle \equiv \frac{\partial \langle \Phi \rangle}{\partial X}$ , where  $\langle \cdot \rangle \equiv \frac{1}{Y_0} \int_0^{Y_0} (\cdot) dY$  represents poloidally averaged quantity.

In system (9) and (10), there are five dimensionless parameters though only three of them, actually, control the regime of flow evolution. Namely, parameter  $g_B = 2 \frac{x_0}{R} (\frac{x_0}{\rho_s})^2$ , where  $\rho_s = c_s (\frac{m_i c}{eB})$  is the ion Larmor radius, represents magnetic curvature effect and determines the growth rate of an ideal interchange, or Rayleigh-Taylor, instability,  $\gamma_{RT} \approx \sqrt{g_B}$ . Parameter  $\sigma_1 = \sqrt{\frac{m_i}{m_e}} \frac{\lambda_{ei} x_0^4}{\rho_s^3 l_{\parallel}^2}$  controls the coupling between interchange and drift waves ( $\lambda_{ei} = \tau_{ei} \sqrt{\frac{T}{m_e}}$  is the electron mean free path which determines plasma resistivity along the magnetic field). For perturbation with sufficiently large wave number,  $k \gg (\frac{\sigma_1}{4})^{\frac{1}{3}}$ , parameter  $\sigma_1$  determines characteristic time scale of a particular type of drift instability,  $\tau_{\sigma} \approx \sqrt{\frac{2k^2}{k_Y \sigma_1}}$ . This follows from the expression for instability growth rate obtained from linearized equations (9) and (10),

$$\gamma \approx -k^2 D - \frac{\sigma_1}{2k^2} + Re \sqrt{\frac{\sigma_1^2}{4k^2} + g_B \frac{k_Y^2}{k^2} - i \frac{\sigma_1 k_Y}{k^2}}, \quad (11)$$

where we neglect  $\sigma_2$ , for simplicity. The third control parameter, which is rather phenomenological one, is the diffusivity  $D \approx D_{\perp} \approx \mu_{\perp}$ . We assume that it is small but finite, in order to suppress small scale length perturbations with  $k > k_*$ , where  $k_*$  is a characteristic "cut-off" wave number. In the case when Boltzman coupling effect dominates, i.e., for  $\tau_{\sigma} \gamma_{RT} \ll 1$ , this wave number is  $k_* \sim (\frac{\sigma_1 k_Y}{2D^2})^{\frac{1}{3}}$ , while in the opposite case of  $\tau_{\sigma} \gamma_{RT} \gg 1$  it is  $k_* \approx \sqrt{\frac{\gamma_{RT}}{D}}$ . As this is also shown below,



the diffusivity  $D$  controls slow relaxation of stable shear flow structure towards the instability threshold and, thus, determines the period of ELM-like flow evolution. Other coupling parameter,  $\sigma_2 \equiv \sigma_1 (\frac{\rho_2}{x_0})^2$ , is relatively small (this is because the ion Larmor radius is small) and does not play essential role until finite Larmor radius effect, i.e., the transition to Hasegawa-Mima equation, is considered.

In a hidden form, system (9) and (10) is also characterized by important geometrical parameter, namely, the aspect ratio of the SOL, which is equal to dimensionless length of the SOL in the poloidal direction,  $Y_0$ . Usually, the SOL width  $x_0$  is about 1 cm while tokamak minor radius is about 0.5 m, so that the aspect ratio is expected to be more than one hundred. However, for qualitative analysis, it is sufficient to consider its rather moderate value, say,  $Y_0 = 5$ , in order to model self-consistent nonlinear dynamics of a long chain of instability-driven vortices with about ten convective cells per the period  $Y_0$ .

Thus, in the present paper, we consider the following range of the parameter values:  $g_B = 0 - 10^2$ ,  $\sigma_1 = 0 - 10^3$ ,  $D = 10^{-4} - 10^{-3}$  and  $\sigma_2 = (10^{-4} - 10^{-2})\sigma_1$ , which, hence, includes both the limiting cases of strong and weak Boltzman coupling effect. Relatively small diffusivity value is chosen in such a way when it allows the development of about ten Bénard-like convective cells per one poloidal period  $Y_0$ .

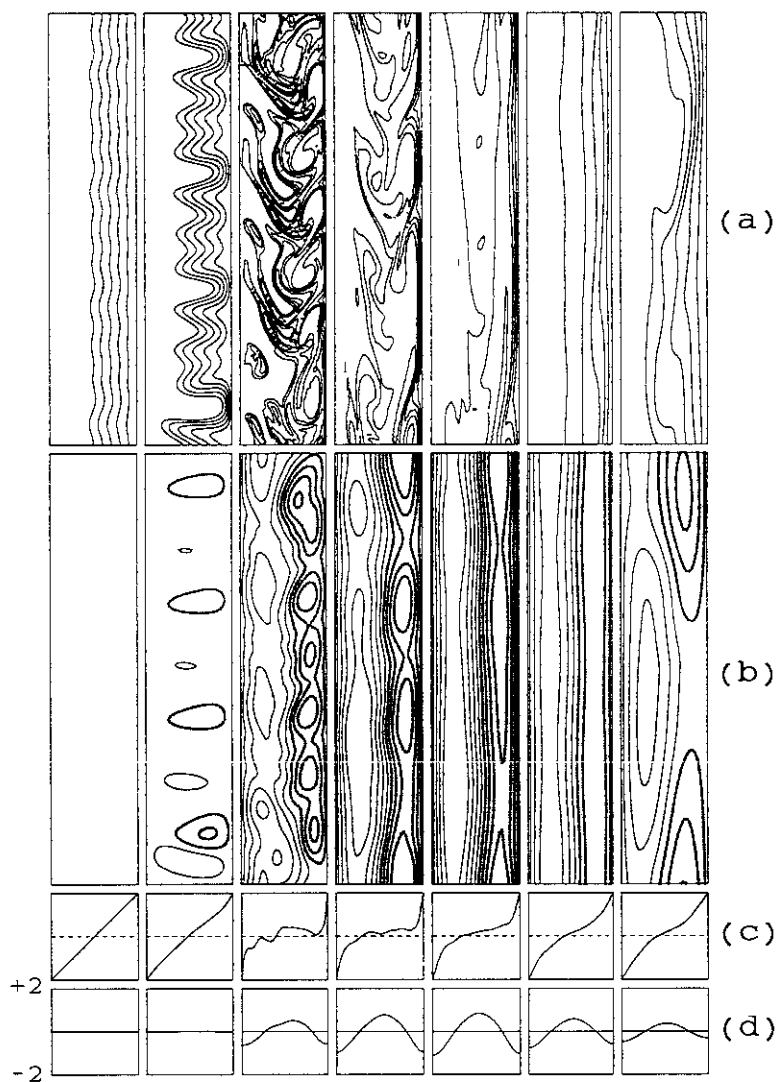
With such a parameters, governing equations (9) and (10) have been solved numerically. The simulation domain ( $0 \leq X \leq 1$ ;  $0 \leq Y \leq 5$ ) was implemented on a  $101 \times 501$  grid point, respectively. As the boundary condition, we assumed periodicity along the poloidal  $Y$ -direction with the period  $Y_0 = 5$ , and the free-slip ( $\nabla_{\perp}^2 \Phi|_{X=0,1} = 0$ ) condition at the rigid wall, where it was also suggested that  $\Phi|_{X=0,1} = N|_{X=0,1} = 0$ . The initial small amplitude (less than  $10^{-6}$ ) random perturbation of plasma density was considered, while the initial electrostatic potential was not perturbed at all,  $\Phi|_{\tau=0} \equiv 0$ .

### 3. SIMULATION RESULTS

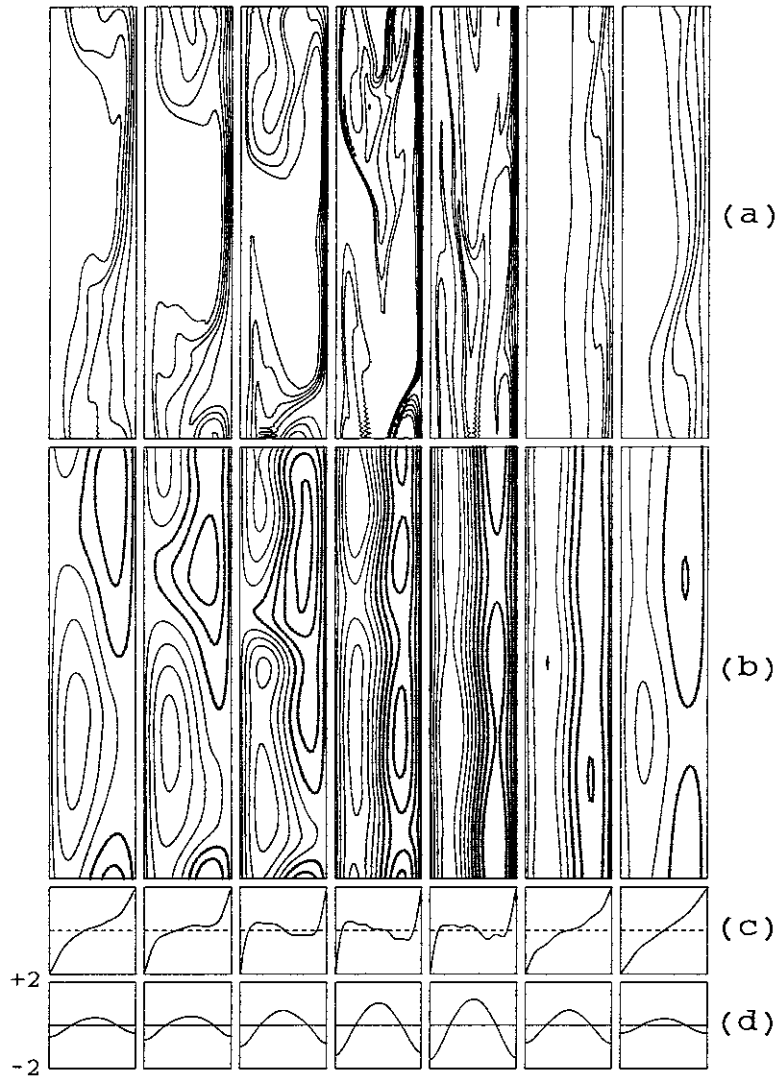
Numerical simulation clearly demonstrates that, in all the cases within the considered parameter range, large shear flow component with the poloidal velocity  $\langle v_Y \rangle \sim 1$  is always generated, but its temporal evolution strongly depends on the coupling parameter value.

Let us consider, for the beginning, typical flow evolution in the case when Boltzman coupling effect dominates, i.e., when  $\tau_{\sigma} \gamma_{RT} \leq 1$  (namely, we consider the case of  $\sigma_1 = 6$ ,  $g_B = 1$  and  $D = 7 \cdot 10^{-4}$ ).

In Figure 1, few successive snapshots showing plasma density ( $a$ ) and potential ( $b$ ) contour lines, as well as radial profiles of the poloidally averaged plasma density ( $c$ ) and poloidal velocity ( $d$ ), are presented for the very first cycle of shear flow generation. For  $\tau < 15$ , linear growth of interchange instability is accompanied by the development of the most unstable mode with characteristic wave number  $k \approx \frac{2}{3}k_* \approx 14$ . Then, at the nonlinear stage,  $15 < \tau < 20$ , the instability-driven convection causes significant spatial redistribution of the poloidally averaged plasma density profile (namely, its flattening) with corresponding rearrangement of the electrostatic potential and, hence, flow velocity profiles. The flattening of



**FIGURE 1.** Snapshots of the contour lines for plasma density (*a*) and electrostatic potential (*b*) at the time moments  $\tau = 11; 14; 17; 20; 24; 40; 60$  (from left to right) corresponding to the very first cycle of shear flow generation. Poloidally averaged profiles of plasma density (*c*) and poloidal flow velocity (*d*) are also shown (the case of  $g_B = 1, \sigma_1 = 6$ ).



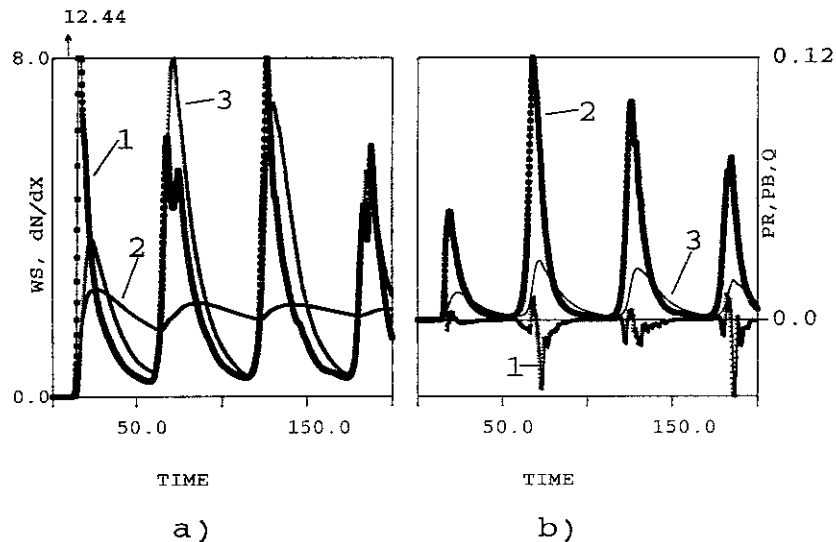
**FIGURE 2.** The same as in Figure 1 but for the second cycle of shear flow generation ( $\tau = 62; 64; 66; 68; 70; 90; 116$ , from left to right)

density profile and generation of large shear flow component, which stirs and suppresses the convective cells, also arrest the growth of instability. As a result, in the absence of free energy release, both the shear flow component and the perturbation of radial density profile slowly decay within relatively long period  $\delta\tau$  of quiet evolution determined by plasma diffusivity.  $\delta\tau \approx \frac{1}{D(2\pi)^2} \approx 35$ , (see time interval

$20 < \tau < 60$  in Fig.1). However, when the poloidal shear flow velocity becomes sufficiently small and spatial distribution of plasma density approaches its initial linear profile, the flow suffers another cycle of instability with the consequent generation of shear flow component (see Figure 2 which represents the second cycle of shear flow generation immediately successive to the first one given in Figure 1). Besides very initial period,  $\tau < 15$ , flow evolution during all the other successive cycles goes on in a similar periodical way and follows the same scenario described above. The only difference concerns the shape of unstable plasma density perturbation. For  $\tau < 20$ , Rayleigh-Taylor instability grows in the absence of any considerable shear flow component and is characterized by the development of narrow, radially elongated spikes of dense plasma. In contrast, plasma density perturbation during all the successive cycles of shear flow generation is usually of the form of poloidally elongated "bubble". When such a "bubble" approaches the wall (see, e.g., the time moment  $\tau = 66$  in Fig.2), plasma density gradient and, hence, particle flux,  $q = D \int_0^{Y_0} (1 - (\frac{\partial N}{\partial X})_{|X=0,1}) dY$ , grow there significantly. However, an efficient contact of the "bubble" with the wall takes place during a short period of time only,  $\delta\tau < 10$ , while during all the rest of a cycle the "bubble" is separated from the wall by shear flow separatrix, and the corresponding particle flux value is small. Respectively, time-averaged particle flux,  $\bar{q} = \frac{1}{\tau_c} \int_{\tau}^{\tau+\tau_c} q d\tau$ , is reduced and does not exceed considerably its value in the absence of turbulent convection. This is clearly seeing in Figure 3.a where time evolution of both the fluxes  $q$  and  $\bar{q}$  is shown together with temporal behaviour of shear flow kinetic energy per unit length in the poloidal direction,  $W_s \equiv \frac{1}{2} \int_0^1 (\frac{\partial \langle \Phi \rangle}{\partial X})^2 dX$ .

In order to clarify the role played by Boltzman coupling effect in the observed strong correlation between the processes of plasma density flattening and shear flow generation, let us consider temporal evolution of shear flow kinetic energy,  $\frac{dW_s}{d\tau} = P_R + P_B - Q$ , and compare the driving terms which are the rate of Reynolds stresses,  $P_R = - \int_0^1 \frac{\partial^2 \langle \Phi \rangle}{\partial X^2} \langle \frac{\partial \Phi}{\partial X} \frac{\partial \Phi}{\partial Y} \rangle dX$ , the rate of Boltzman coupling,  $P_B = -\sigma_1 \int_0^1 \langle \Phi \rangle (\langle \Phi \rangle - \langle N \rangle) dX$ , and dissipation rate,  $Q = D \int_0^1 (\frac{\partial^2 \langle \Phi \rangle}{\partial X^2})^2 dX$ . Temporal evolution of these quantities is shown in Figure 3.b. One can easily see that Boltzman coupling effect always dominates over Reynolds stresses and, hence, plays important role in flow evolution. Then, during the phase of dissipative relaxation, the coupling rate  $P_B$  goes down much faster than the dissipative decay process as itself. This can be satisfactorily explained only by the establishment of Boltzman distribution,  $\langle \Phi \rangle \approx \langle N \rangle$ . Hence, Boltzman

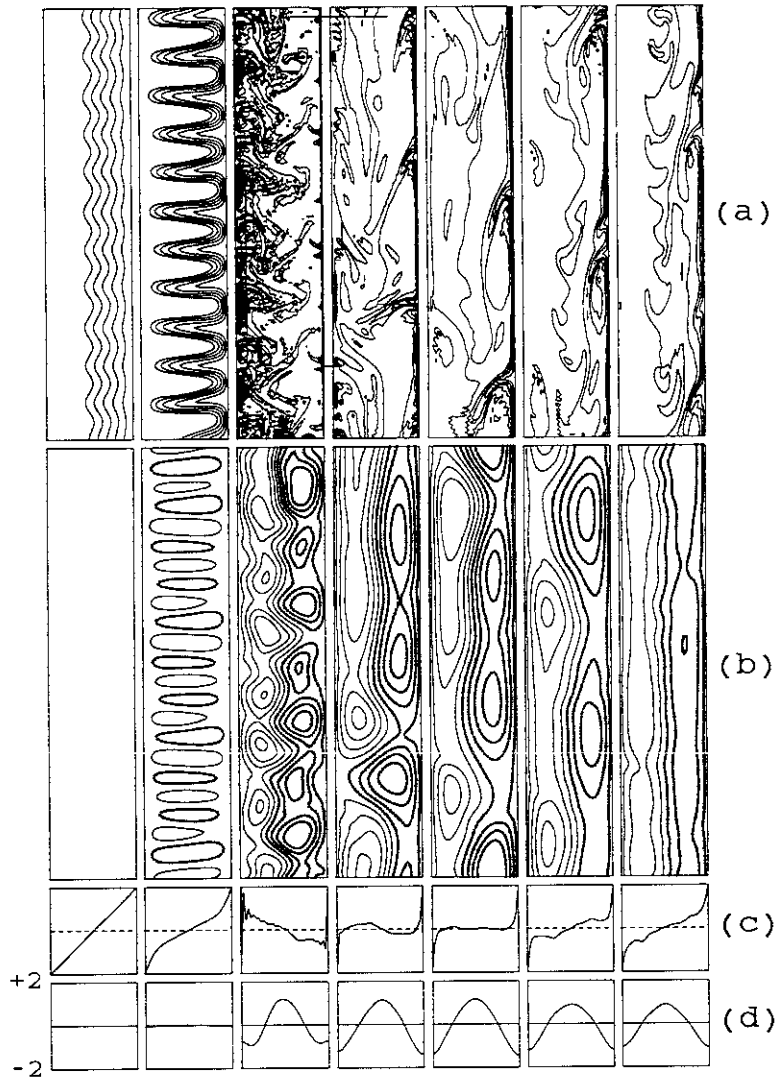
coupling effect controls flow evolution during slow dissipative relaxation as well, even though, in this case, the dissipation rate  $Q$  formally exceeds the coupling rate  $P_B$ .



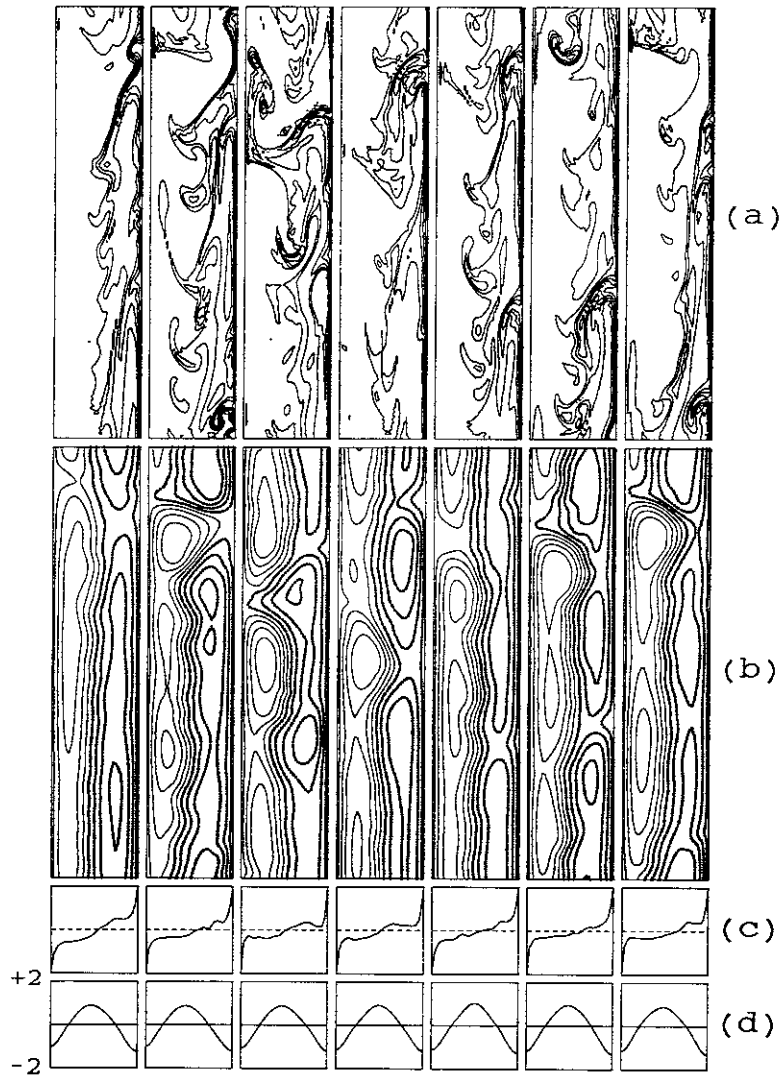
**FIGURE 3.**

(a) - ELM-like temporal evolution of the poloidally- (curve 1) and time-averaged (curve 2) density gradient at the wall, as well as the kinetic energy of shear flow component,  $W_s$  (curve 3);  
(b) - shear flow energy balance: the rates of Reynolds stresses (curve 1), Boltzman coupling (curve 2) and dissipation (curve 3). The case of  $g_B = 1$ ,  $\sigma_1 = 6$ .

In the opposite case of  $\tau_\sigma \gamma_{RT} \geq 1$  (namely, for  $g_B = 7$  with other parameters unchanged), magnetic curvature effect dominates, and flow evolution is changed considerably. First of all, this concerns generation of saturated poloidally averaged shear flow component. In contrast to the previous case, shear flow component, being initially generated within the period of two inverse ideal growth rates  $\gamma_{RT}$ , is then maintained at the same saturated level  $\langle v_Y \rangle \approx 1$  (see Fig.4, Fig.5 and Fig.6, which are the analogies of Fig.1, Fig.2 and Fig.3, respectively, but correspond to higher  $g_B$  value). The corresponding poloidally averaged density profile is flattened permanently as well. Hence, no considerable difference between the particle fluxes  $q$  and  $\bar{q}$  appears (see Fig.6.a). Both the fluxes exceed at least twice

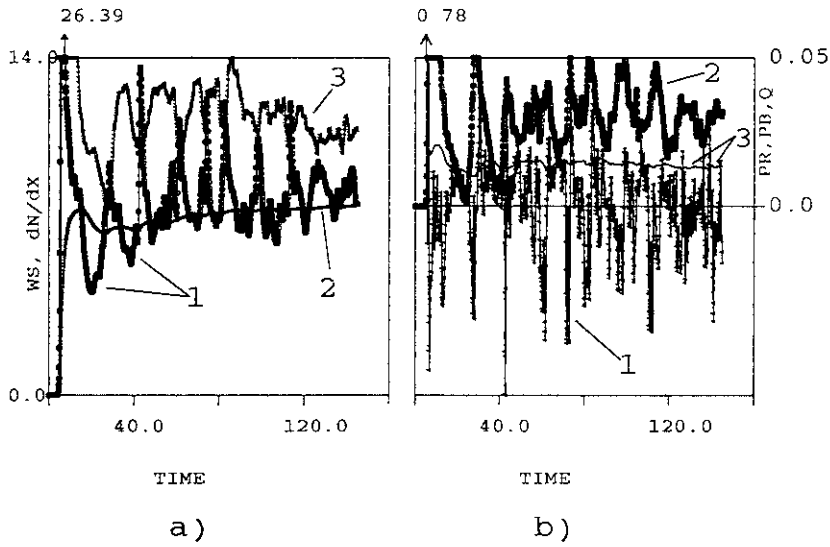


**FIGURE 4.** Snapshots of the contour lines for plasma density (*a*) and electrostatic potential (*b*) at the time moments  $\tau = 4; 5; 6; 8; 10; 15; 20$  (from left to right) corresponding to the very first cycle of shear flow generation. Poloidally averaged profiles of plasma density (*c*) and poloidal flow velocity (*d*) are also shown (the case of  $g_B = 7$ ,  $\sigma_1 = 6$ ).



**FIGURE 5.** The same as in Figure 4 but for the time moments  $\tau = 40; 42; 44; 46; 50; 56; 60$  (from left to right)

their values in the case of  $\tau_\sigma \gamma_{RT} \leq 1$ , what reminds degradation of plasma confinement in L-regime. However, an interesting feature of such a "strange" L-mode is the generation of steady-state shear flow component which, however, does not suppress interchange instability (this is because  $g_B$  is high enough) and co-exists with instability-driven Bénard-like convective cells (see Fig.6.b). As the vortices are strong, neither "spikes" nor "bubbles" are effectively separated from the walls by shear flow separatrix, and this explains degradation of plasma confinement even in the presence of shear flow.



**FIGURE 6.** The same as in Figure 3 but for  $g_B = 7$ ,  $\sigma_1 = 6$ .

In  $(g_B - \sigma_1)$ -plane, the described above two different regimes of plasma flow evolution, namely, ELMs and "strange" L-mode, are separated by the curve  $\tau_\sigma \gamma_{RT} \sim 1$  or, equivalently,  $\frac{g_B}{\sigma_1} \sim \frac{1}{2k}$  with the observed effective wave number of the "bubble" structure  $k \sim 1$ . Rather sharp transition from one regime to another takes place while moving across the separatrix. For example, the case  $g_B = 5$ ,  $\sigma_1 = 4$  corresponds to typical "strange" L-mode, while the case  $g_B = 5$ ,  $\sigma_1 = 6$  already corresponds to the ELM-like evolution, although with relatively short ELMs period, about 50 % of its typical value observed in the case of  $g_B = 5$ ,  $\sigma_1 = 10$ .



## 4. LOW-DIMENSIONAL MODEL

The observed process of shear flow generation is, roughly speaking, a combination of four "robust" processes: i) density profile flattening via nonlinear convection; generation of shear component via ii) Boltzman coupling effect (when density profile is flattened) and/or iii) Reynolds stresses; and iv) slow dissipative relaxation of a stable configuration towards the instability threshold. These processes, according to the simulation results, seem to be irrelevant to the particular details of plasma density and potential spatial structure and, hence, their description in terms of low-dimensional model is quite reasonable. Such a model, if represents the process qualitatively well, could help in scanning wider range of plasma parameters and elucidate the physics of the phenomenon.

There exists well-known and successful example of such a low-dimensional model, namely, Lorentz set for B enard convection in unstably stratified fluid. In Lorentz model (but in our notations), the only flow structure taken into account is a regular chain of vortices,  $\Phi^{(L)} = b_{\Phi}^{(L)} \cos(k_Y Y) \sin(\pi X)$ . As for density structure, it includes both profile flattening (the amplitude  $A_N^{(L)}$ ) and a spike-like convective deformation (the amplitude  $a_N^{(L)}$ ), so that  $N^{(L)} = A_N^{(L)} \sin(2\pi X) + a_N^{(L)} \sin(k_Y Y) \sin(\pi X)$ . This model describes well many features of B enard convection, so it is meaningful to use the Lorentz set as a "kernel" of an extended low-dimensional model which takes into account the effect of shear flow generation (this effect is not included into the original Lorentz set).

One example of such an extended Lorentz model was considered in (18). However, Boltzman coupling effect did not play essential role in that simulation, and shear flow generation was mainly controlled by Reynolds stresses forcing. Respectively, the density and potential structures considered in (18) did not represent intrinsically the coupling effect.

In the present paper we consider another type of an extended Lorentz set which is mainly oriented towards taking into account Boltzman coupling effect. In the original Lorentz set, density representation already includes both the important effects of profile flattening and spike- (or bubble)-like convective deformation, so it is quite reasonable to use the same density representation in our model as well. As for the representation of plasma potential, we have to explore the following observations (see the Figures presented above): i) poloidally averaged potential profile,  $\langle \Phi \rangle$ , is always akin to density perturbation profile, and ii) there exists a tendency to establish Boltzman distribution, i.e.,  $(\Phi - N)$ -coupling. This means that it is necessary (and, actually, sufficient) to include the density counterpart into the potential representation. With such a minimal correction, we consider the following density and potential structures:

$$N = A_N^{(L)} \sin(2\pi X) + a_N^{(L)} \sin(k_Y Y) \sin(\pi X),$$

$$\Phi = A_{\Phi} \sin(2\pi X) + [b_{\Phi}^{(L)} \cos(k_Y Y) + a_{\Phi} \sin(k_Y Y)] \sin(\pi X). \quad (12)$$

Here  $(L)$  denotes an amplitude from the original Lorentz set. In the present analysis, characteristic poloidal wave number,  $k_Y = \frac{2\pi m}{Y_0}$ , where  $m$  is the corresponding poloidal mode number, is not specified self-consistently and should

be considered as a parameter. According to simulation results described above, poloidal mode number is about 10 at the very first cycle of shear flow generation, and it is reduced till  $m = 1$  or  $m = 2$  during all the successive cycles.

Substituting expressions (12) into the governing equations (9) and (10), we obtain the following extended Lorentz set:

$$\begin{aligned}
\frac{d A_N^{(L)}}{d\tau} &= -\lambda_1 A_N^{(L)} + \sigma_2 A_\Phi - \frac{m\pi^2}{Y_0} a_N^{(L)} b_\Phi^{(L)} \\
\frac{d a_N^{(L)}}{d\tau} &= -\lambda_2 a_N^{(L)} + \sigma_2 a_\Phi + \frac{2\pi m}{Y_0} b_\Phi^{(L)} + \pi A_N^{(L)} b_\Phi^{(L)} \\
\frac{d b_\Phi^{(L)}}{d\tau} &= -\lambda_3 b_\Phi^{(L)} + \gamma_B a_N^{(L)} + \xi A_\Phi a_\Phi \\
\frac{d a_\Phi}{d\tau} &= -\lambda_4 a_\Phi + \gamma_\sigma a_N^{(L)} - \xi A_\Phi b_\Phi^{(L)} \\
\frac{d A_\Phi}{d\tau} &= -\lambda_5 A_\Phi + \frac{\sigma_1}{4\pi^2} A_N^{(L)}
\end{aligned} \tag{13}$$

Here  $\lambda_1 = 4\pi^2 D + \sigma_2$ ,  $\lambda_2 = k^2 D + \sigma_2$ ,  $\lambda_3 = \lambda_4 = k^2 D + \frac{\sigma_1}{k^2}$ ,  $\lambda_5 = 4\pi^2 D + \frac{\sigma_1}{4\pi^2}$ ,  $\xi = \frac{\pi^2 m}{k^2 Y_0} \left( \left( \frac{2\pi m}{Y_0} \right)^2 - 3\pi^2 \right)$ ,  $\gamma_B = \frac{g_B}{k^2} \frac{2\pi m}{Y_0}$ ,  $\gamma_\sigma = \frac{\sigma_1}{k^2}$ ,  $k^2 = \pi^2 + \left( \frac{2\pi m}{Y_0} \right)^2$ .

Last equation in the set (13) describes dissipative relaxation towards Boltzman distribution for the poloidally averaged potential and density profiles. This is, actually, the process of shear flow generation in the presence of the flattened density profile (see Introduction). As this follows from the first and the last equations (13), both the shear flow component and the flattening perturbation of plasma density profile tend to zero because of dissipative relaxation with characteristic dissipative time scale  $\lambda_5^{-1}$ . However, there exists a "source"-term in the right-hand-side of the equation for  $A_N^{(L)}$ , namely, the term with  $a_N^{(L)} b_\Phi^{(L)}$ , which generates nonzero amplitudes  $A_N^{(L)}$  and, hence,  $A_\Phi$ . This "source"-term describes nonlinear convective flattening of plasma density profile because of the development of a chain of vortices (amplitude  $b_\Phi^{(L)}$ ) with consequent spike-like deformation of density profile (amplitude  $a_N^{(L)}$ ). In turn, convection is driven by the development of instability which can be saturated by two nonlinearities arising from deformations of the poloidally averaged density and potential profiles: i) change in effective density gradient, i.e.,  $b_\Phi^{(L)} A_N^{(L)}$  term in the second equation (13), and ii) stirring of the vortices by shear flow component, i.e., the nonlinear terms in the third and fourth equations (13). Complicated interaction of these effects leads to ELM-like temporal behaviour of all the amplitudes.

Numerical solution of the set (13) is in a good agreement with the solution of the original set (9) and (10). In principle, the described low-dimensional model could be useful in the analysis of ELM activity in a wide range of plasma parameters. However, such an analysis is beyond the scope of the present paper.

## 5. CONCLUSIONS

We have studied, by two-dimensional large-scale numerical simulation, the process of shear flow generation in the plasma which is unstable against the growth of flute-like interchange perturbations. Particular attention was drawn to the effect of density and electrostatic potential coupling in longitudinally inhomogeneous plasma. This effect is shown to play a significant role in the onset of shear flow component.

Two different limiting cases of shear flow generation and evolution are found, depending on the importance of Boltzman coupling effect and driving force of interchange instability (magnetic curvature effect). When the coupling effect dominates, flow evolution is akin to ELM-activity in the SOL plasma with unessential degradation of plasma confinement. In the opposite case, plasma transport is enhanced considerably, eventhough shear flow component still exists. Between such a "strange" L-mode of plasma confinement, on the one hand, and ELM-like regime, on the other hand, there are various "transitional" regimes characterized by different values of the frequency of ELM-like events. In the  $(g_B - \sigma_1)$ -plane, however, there exists rather sharp boundary between these two regimes qualitatively corresponding to the balance between Boltzman coupling effect and the interchange instability driving force.

Low-dimensional model of the process is proposed. The model is based on well-known Lorentz set for Bénard convection with new elements which take into account shear flow generation and Boltzman coupling effect.

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