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# Orbital Aspects of Reachable $\beta$ Value in NBI heated Heliotron/Torsatrons

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#### **Abstract**

By modeling the typical orbital deviation and the deposition profile of tangentially injected neutral beams, the heating efficiency is derived in heliotron/torsatrons. It is found that the changes of the configuration due to the finite  $\beta$  effects alter the NBI heating efficiency largely and that the magnetic well or hill condition, which is important for the MHD stabilities, is also an important factor to determine the heating efficiency in a weak magnetic field. By combining the energy confinement scaling law the reachable  $\beta$  value is evaluated and it is found that there is the optimum value of the magnetic field strength to obtain the high plasma  $\beta$  in a point of view of the NBI heating efficiency.

Keywords: plasma beta, heliotron/torsatron, neutarl beam injection heating, beam orbit loss, heating efficency, high beta experiments, the Compact Helical System

Physics of high  $\beta$  (=the kinetic pressure/the magnetic pressure) plasma is one of the important issues for developing the efficient future fusion reactor and many experimental and theoretical studies have been done not only in tokamaks[1,2] but also in heliotron/torsatrons[3-8]. Especially in heliotron/torsatrons the possibility of operations with currentless high  $\beta$  plasma is a good advantage and it is necessary to understand the physics in the high  $\beta$  plasma. Recently the high  $\beta$  experiments in the CHS (Compact Helical System)[9] show the highest averaged beta value in heliotron/torsatrons as  $\beta = 2.1\%[7,8]$ .

Since the CHS is the low aspect ratio device the stability limit is sufficiently high due to the magnetic well created by the Shafranov shift and it is considered that the plasma  $\beta$  would be limited by the equilibrium limit. However the obtained maximum  $\beta$  value of CHS experiments is lower than the expected value by the equilibrium limit. Additionally there is a clear magnetic field strength dependence that the maximum  $\beta$  value is obtained with  $B_0 \sim 0.6 T$  and that the  $\beta$  value is sharply decreased with  $B_0 \sim 0.5 T$ . These facts show that there exists other mechanism to limit the plasma  $\beta$  depending on the magnetic field strength and configuration in heliotron/torsatrons.

The averaged plasma beta,  $\beta$ , is estimated in terms of the heating power of the tangentially injected NBI,  $P_h$ , and the energy confinement time,  $\tau_E$ , by

$$\beta = \frac{2}{3} \frac{P_h \tau_E}{\int \frac{1}{2\mu_0} B^2 dV},\tag{1}$$

where B and  $\mu_0$  are the magnetic field strength and the vacuum magnetic permeability, respectively. Even if the field dependence of  $\tau_E$  is taken into consideration as  $\tau_E \propto B^\gamma$  ( $\gamma = 0.7 \sim 0.9$ ), a very high  $\beta$  plasma is obtained in a very weak magnetic field when the magnetic field dependence of  $P_h$  is ignored. Actually, however, the efficiency of NBI heating decreases to reduce the  $\beta$  value when the strength of magnetic field becomes too small[8]. This fact clearly indicates that the deviation of the drift orbits of the tangentially injected NBI particles is large in the weak magnetic field as well as perpendicular injection in heliotron/torsatrons with a low aspect ratio. In this paper, by modeling the typical orbital deviation and the birth deposition profile of tangentially injected beam particles the orbital aspects of the heating efficiency and the reachable  $\beta$  value are examined in heliotron/torsatrons.

The drift motions of injected beam particles mainly consist of two kinds of motions across the magnetic surface in heliotron/torsatrons. One is the drift motion due to the axisymmetric magnetic field components,  $\langle B \rangle$ , which causes the shift of the drift surface from the magnetic surface. The other is the motion due to the helical magnetic field components,  $B_h$ , which causes the small circulation motions around the drift orbit given by the axisymmetric components. Figure 1 shows a schematic view of these two types of drift motions in magnetic flux coordinates for the co-injection beam particle, where the shift of the drift surface is outward. Estimating these two drift motions, which are typically expressed by  $\Delta_d$  and  $\Delta_h$  in Fig. 1, we can evaluate the prompt orbit loss of tangentially injected beam particles leading to the reduction of the NBI heating efficiency.

When the drift motions due to  $\langle B \rangle$  are assumed to draw the co-centric circles on the poloidal cross section in the magnetic flux coordinates as shown in Fig. 1 those motions are predicted only by calculating the center position of the drift circles. Since the equations of motion are given by simple forms in the Boozer coordinates we here evaluate values  $\Delta_d$  and  $\Delta_h$  in the Boozer coordinates  $(\psi, \theta, \phi)$ . At the center of the drift surface the particle dose not move in the poloidal direction,  $\langle \dot{\theta} \rangle = 0$  at  $\theta = 0$  (co injection) and  $\theta = \pi$  (counter injection), where  $\langle \rangle$  indicates the average over one helical pitch. Thus, from the equations of motion in the Boozer coordinates[10] the condition for the drift center is given by

$$\left\langle \left( \delta \frac{\partial B}{\partial \psi} + q \frac{\partial \Phi}{\partial \psi} \right) \frac{g}{\gamma} - \frac{q^2 B^2 \rho_{\parallel}}{M_b} \frac{(\rho_{\parallel} g' - \iota)}{\gamma} \right\rangle = 0, \tag{2}$$

(the meaning of the notation is mentioned in Ref. 10).

For the tangentially injected particles the parallel velocity  $v_{\parallel}$  is much larger than  $v_{\perp}$  and  $\delta \sim q^2 \rho_{\parallel}^2 B/M_b$ . By assuming the small effects of radial electric field,  $\left|\delta \frac{\partial B}{\partial \psi}\right| \gg q \left|\frac{\partial \Phi}{\partial \psi}\right|$ , and no net toroidal current, I=0, the condition for the drift center is expressed as

$$\sigma B_0 g(\psi_c) \rho_{\parallel 0} \frac{d}{d\psi} \langle B(\psi_c, \theta_b) \rangle - \sigma \rho_{\parallel 0} g'(\psi_c) B_0 \langle B(\psi_c, \theta_b) \rangle + \iota \left( \langle B(\psi_c, \theta_b) \rangle \right)^2 = 0.$$
 (3)

where  $\theta_b=0$  and  $\sigma=1$  for co injection case, and  $\theta_b=\pi$  and  $\sigma=-1$  for counter injection case.  $\rho_{\parallel 0}$  and  $\psi_c$  are the parallel gyroradius (=  $\sqrt{2M_bE_b}/qB_0$ ) and the drift center position in the  $\psi$  coordinate, respectively. Since the averaged minor radius r is given by  $r=\sqrt{2\psi/B_0}$ , the shift of the drift surface from the magnetic axis,  $\Delta_d$ , is expressed in terms of  $\psi_c$  by  $\Delta_d=\sigma\sqrt{2\psi_c/B_0}$ .

For the simple case with constant  $\iota(\psi)$ ,  $\iota_0$ , and  $\langle B(\psi,\theta)\rangle = B_0\{1 - \epsilon_t \sqrt{\psi/\psi_a}\cos\theta + \epsilon_0\psi/\psi_a\}$ , the shift of the drift surface is given by

$$\Delta_d = \sigma \frac{\rho_{\parallel 0}}{t_0} \frac{1}{1 + \sigma 2 \frac{\epsilon_0}{\epsilon_t} \frac{\rho_{\parallel 0}}{a t_0}} \tag{4}$$

where we assume  $\epsilon_t \sim |\epsilon_0| \sim (B_0 a/g_0) \ll 1$ . It is found that the shift of the drift surface is primary determined by the toroidicity,  $\epsilon_t$ , and that the magnetic well  $(\epsilon_0 > 0)$  or hill  $(\epsilon_0 < 0)$  configuration modifies that value. In the configuration with the magnetic well  $(\epsilon_0 > 0)$ , the shift is reduced in the co-injection case  $(\sigma = 1)$  and is enhanced in the counter injection case  $(\sigma = 0)$ .

The drift motion due to  $B_h$  would expressed as a circulation motion around the drift surface due to  $\langle B \rangle$ . To estimate the excursion due to such a drift motion, it is assumed that the helical component of the magnetic field is written as  $B_h = \sum_m B_{m,N} \cos(m\theta - N\phi)$ . The radial motion due to these modes,  $\{\dot{\psi}\}_h$ , is given by[10]

$$\{\dot{\psi}\}_h = \rho_{\parallel 0}^2 \omega_c \sum_m m B_{m,N} \sin(m\theta - N\phi), \qquad (5)$$

$$\simeq \rho_{\parallel 0}^2 \omega_c \sum_m m B_{m,N} \sin(-\omega(m)t + \phi_0), \tag{6}$$

where  $\omega(m) \simeq \sigma(N-\iota m)\left|v_{||}\right|/R.$  Then the radial excursion is written as

$$\Delta\{\psi\}_h = -\rho_{\parallel 0}^2 \omega_c \sum_m \frac{m B_{m,N}}{\omega(m)} \cos(m\theta - N\phi) \tag{7}$$

and the radial excursion due to the helical component  $B_h$ ,  $\Delta_h$ , is given by

$$\Delta_h = \sqrt{r_d^2 + \frac{2}{B_0}} \Delta \{\psi\}_h - r_d, \tag{8}$$

where  $r_d$  is the radial position given by the motion due to axisymmetric component  $\langle B \rangle$ . In the followings the value of  $\Delta_h$  is evaluated at  $\theta = 0$  ( $\theta = \pi$ ) for co (counter) injection case where  $\phi$  is determined to get the largest excursion of orbits. By neglecting the effects of the reentering particles, the last closed magnetic surface is used as the loss boundary. Thus particles passing through the radial point r, r > a, are thought to be lost.

In order to estimate the heating efficiency the profile of the beam deposition should be given. Based on the beam depositions obtained by the Monte Carlo simulation analyses we found out that the birth deposition profile is given by the following simple form:

$$n_b = n_0 \left\{ 1 - \frac{(x - \delta_N)^2 + y^2}{(a - \delta_N)^2} \right\},\tag{9}$$

where  $\delta_N$  is the shift of the peak position of the birth deposition profile, which depends on the density, the injected beam energy, and the configuration of the equilibrium. The coordinates x and y are shown in Fig. 1. The total deposition number  $N_{b0}$  and the heating efficiency  $\eta$  are given by  $N_{b0} = \frac{\pi}{2} n_0 (a - \delta_N)^2$  and  $\eta = \frac{1}{N_{b0}} \int_S n_b \, dx dy$ , respectively, where the region S is shown in Fig. 2 for three cases; a) the co-injection case with  $2\delta_N - 2\Delta_d - \Delta_h \geq 0$ , b) the co-injection case  $2\delta_N - 2\Delta_d - \Delta_h < 0$ , and c) the counter injection case. The shaded regions show the beam deposit regions and dotted circles indicate the most outer confined drift orbit given by  $\Delta_d$  (the least distance between the dotted circles and the last closed magnetic surface is  $\Delta_h$ ). Finally the heating efficiency is obtained as

$$\eta = \frac{P_h}{P_0}$$

$$= \frac{\rho_d^2}{2\rho_N^4} (2\rho_N^2 - \rho_d^2 - 2\Delta_N^2) + \frac{2}{3\pi} \frac{(4\Delta_N - x_d)}{\rho_N^4} (\rho_d^2 - x_d^2)^{3/2}$$

$$- \frac{1}{\pi} \frac{(2\rho_N^2 - \rho_d^2 - 2\Delta_N^2)}{\rho_N^4} \left\{ x_d \sqrt{\rho_d^2 - x_d^2} + \rho_d^2 \arcsin \frac{x_d}{\rho_d} \right\} + \frac{x_N}{\pi \rho_N^2} \sqrt{\rho_N^2 - x_N^2}$$

$$+ \frac{1}{\pi} \arcsin \frac{x_N}{\rho_N} + \frac{2}{3\pi} \frac{x_N}{\rho_N^4} (\rho_N^2 - x_N^2)^{3/2} + \frac{1}{2}, \tag{10}$$

where

$$\rho_{N} = a - \delta_{N}, \qquad x_{N} = x_{c} - \delta_{N}, 
\rho_{d} = a - \sigma(\Delta_{d} + \Delta_{h}), \qquad x_{d} = x_{c} - \Delta_{d}, 
\Delta_{N} = \delta_{N} - \Delta_{d}, \qquad x_{c} = \frac{2a\{\delta_{N} - \sigma(\Delta_{d} - \Delta_{h})\} + 2\Delta_{d}\Delta_{h} + \Delta_{h}^{2}}{2(\delta_{N} - \sigma\Delta_{d})}.$$
(11)

For case b) (co injection with  $2\delta_N - 2\Delta_d - \Delta_h < 0$ ),  $x_d = -\rho_d$  and  $x_N = -\rho_N$  hold, and for counter injection with  $\Delta_h \leq \delta_N$ , which is not shown in Fig. 2  $x_d = -\rho_d$  and  $x_N = -\rho_N$  also hold. For the very simple co injection case where  $\Delta_d = \rho_{\parallel 0}/\epsilon_0$ ,  $\delta_N = 0$ ,  $\Delta_h = 0$  (case b)), the heating efficiency is given from Eq. (10) as

$$\eta = \left(1 - \frac{\rho_{\parallel 0}}{t_0 a}\right)^3 \left(1 + 3 \frac{\rho_{\parallel 0}}{t_0 a}\right). \tag{12}$$

By assuming the energy confinement time as  $\tau_E = F(n,a,R)B^{\gamma}P_h^{-\alpha}$ ,  $(2 > \gamma > 0$  and

 $1 > \alpha > 0$ ), and substituting both  $\tau_E$  and Eq. (12) into Eq. (1), the  $\beta$  is expressed as

$$\beta = F' P_0^{1-\alpha} B^{\gamma-2} \left\{ \left( 1 - \frac{\rho_{||0}}{\iota_0 a} \right)^3 \left( 1 + 3 \frac{\rho_{||0}}{\iota_0 a} \right) \right\}^{1-\alpha}. \tag{13}$$

The maximum value of  $\beta$  is obtained as

$$\beta_{max} = F' \left\{ \left( 1 - \frac{1}{k} \right)^3 \left( 1 + \frac{3}{k} \right) \right\}^{1 - \alpha} \left( \frac{M_b v_b}{q_{t_0} a} \right)^{\gamma - 2} P_0^{1 - \alpha} \text{ at } B = \frac{k M_b v_b}{q_{t_0} a}, \tag{14}$$

where  $v_b$  is the beam velocity and  $k = \sqrt{1 + 3(6 - 4\alpha - \gamma)/(2 - \gamma)} - 1$ . Eq. (14) shows the existence of optimum magnetic field strength for high  $\beta$  operation in a point of view of orbital effects through heating efficiency. It is found that the optimum magnetic field strength is proportional to the beam velocity and  $1/\epsilon_0$  and higher  $\beta_{max}$  is obtained with lower beam velocity and higher rotational transform.

Here our model is applied to the CHS plasma and compared with the results of high  $\beta$  experiments. The total power of NBI heating in the CHS is 1.8MW (the co injection power  $P_{co0}=1.1$ MW and the counter injection power  $P_{cnt0}=0.7$ MW) and beam energies are 40keV for co injection and 36keV for counter injection, respectively. Here we only consider the primary beam energy component and effects of multi energy components are not considered. The averaged plasma density is assumed to  $\langle n \rangle = 0.55 \times 10^{20} \text{m}^{-3}$  in this calculation. We use the magnetic field components and  $\epsilon$  obtained by the three dimensional MHD equilibrium using the VMEC code with the pressure profile  $p = p_0(1 - \psi/\psi_a)^2$ . The large change of the the magnetic field components and  $\epsilon$  can be observed due to finite  $\beta$  effects, i. e., a large Shafranov shift[8]. Applying these values to Eqs. (4), (8), and (10) we can obtain the heating efficiency in the CHS.

Figures 3-(A) and (B) show the heating efficiency  $\eta$  as a function of the magnetic field strength B for configurations with different  $\beta$ , where we use the  $\delta_N$  obtained by the beam deposition code[11]. Since the  $\delta_N$  is large for the used high density plasma, the heating efficiency in the co-injection case is higher than that in the counter injection case (As is understood from Fig. 2.). As  $\beta$  increases, the large Shafranov shift makes the configuration with magnetic well. Thus the shift of the drift center,  $\Delta_d$ , is reduced for co-injected beam and enlarged for counter injected beam. We can see the increasing (decreasing) of the heating efficiency with  $\beta$  at the weak magnetic region for co-(counter)

injection case. Also the deviation  $\Delta_h$  due to the helical component  $B_h$  is enlarged in the configuration with higher  $\beta$  and the losses of particles are enhanced by the motion due to the helical component. The reductions of the heating efficiency due to this effect can be seen clearly at the region B>0.8T for the configurations with  $\beta=1.83\%$  and 2.38% in the co injection case (Fig. 3-(A)). Since the Shafranov shift modifies the density profile along the beam line largely,  $\delta_N$  depends on  $\beta$  as well as the density.  $\delta_N$  is reduced as  $\beta$  increases, which is the reason why the heating efficiency increases with  $\beta$  for the counter injection at B>1T in Fig. 3-(B).

Combining the energy confinement time  $\tau_E$  to the heating efficiency  $\eta$  we can evaluate  $\beta$  by Eq. (1). In heliotron/torsatrons the LHD scaling is used for expressing the energy confinement time. The LHD scaling for the energy confinement time,  $\tau_E^{LHD}$ , is given by[12]

$$\tau_E^{LHD} = 0.17 R^{0.75} a^2 n^{0.69} B^{0.84} P_h^{-0.58}, \tag{15}$$

where R, a, n, B, and  $P_h$  are the major radius [m], minor radius [m], density [ $10^{20}$ m<sup>-3</sup>], magnetic field strength [T], and injected power [MW]. Substituting Eq. (15) to Eq. (1)  $\beta$  is written as

$$\beta = 0.0144R^{-0.25}n^{0.69}B^{-1.16}P_h^{0.42}, \tag{16}$$

where we assume  $\int \frac{B^2}{2\mu_0} dV = \pi^2 a^2 R B_0^2/\mu_0$ . The plots of  $\beta$  as a function of the magnetic field strength for configurations with different  $\beta$  corresponding to the CHS experiment are shown in Fig. 4.

In the stronger magnetic field region ( $B>1.0\mathrm{T}$ ) the obtained  $\beta$  is roughly independent of configurations, because the heating efficiencies of co injection are nearly unity and constant in this region. On the other hand, the contribution of counter injection is small because of the lower heating efficiency and smaller heating power than those of co injection. In the weaker magnetic field region ( $B<1.0\mathrm{T}$ ) the heating efficiency depends strongly on B and configurations, leading to the different  $\beta_{max}$ . In the vacuum configuration case  $\beta_{max}$  is obtained at  $B\sim0.9\mathrm{T}$  and the obtainable  $\beta$  value becomes 0 at  $B\sim0.45\mathrm{T}$ . The  $\beta_{max}$  is increased until the configuration with  $\beta=1.83\%$  but is decreased in the configuration with  $\beta=2.38\%$  because of the large amplitude of  $\Delta_h$  which leads to the decreasing of the heating efficiency.

In order to compare the reachable  $\beta$  with experimental  $\beta$  we calculate  $\beta$  using the configuration consistent with the obtained  $\beta$ . Figure 5 shows the plot of reachable  $\beta$  in terms of the consistent configurations. The rapid fall of  $\beta$  is found when  $B_0 \sim 0.5 T$  and this agrees well with experimental results in CHS[8]. This shows that the  $\beta$  limit mechanism due to the reduction of heating efficiency plays a key role in the high  $\beta$  experiment in CHS.

The orbital aspects of heating efficiencies for co and counter injection are examined by modeling the two types of deviation of the drift orbit;  $\Delta_d$  due to axisymmetric component of B and  $\Delta_h$  due to helical component of B. In weak magnetic field the heating efficiency depends strongly on the Fourier spectrum of the magnetic field, rotational transform, and the magnetic well/hill condition, all of which are easily changeable due to the finite  $\beta$  effects. The consistently determined reachable  $\beta$  value for CHS experiments could well show the orbital aspects of experiments.

In our calculation the obtained plasma  $\beta$  value is lower than that of experimental results. Several reasons would be considered, e. g., contribution of multi components of beam energy, assumed energy confinement time, particle loss boundary. Further investigation is necessary to make this point clear and the more detail calculations for CHS or other heliotron/torsatron devices would be discussed elsewhere.

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### **Figure Captions**

- FIG. 1. Schematic picture of the drift motion of tangentially injected NBI particle in heliotron/torsatrons. (co injection case)
- FIG. 2. Regions S for calculations of the heating efficiency for three cases; a) the co injection case with  $2\delta_N 2\Delta_d \Delta_h \geq 0$ , b) the co injection case  $2\delta_N 2\Delta_d \Delta_h < 0$ , and c) the counter injection case. The shaded regions show the beam deposit regions and dotted circles are the most outer confined drift surface given by  $\Delta_d$  (the least distance between the dotted circle and the last closed magnetic surface is  $\Delta_h$ ).
- FIG. 3. Heating efficiencies of tangentially injected NBI heating in the CHS as a function of the magnetic field strength, B, for configurations with different  $\beta$ ; (a) $\beta = 0.0\%$ , (b) $\beta = 0.84\%$ , (c) $\beta = 1.83\%$ , and (d) $\beta = 2.38\%$ . (A) co injection case with  $E_b = 40 \text{keV}$  and (B) counter injection case with  $E_b = 36 \text{keV}$ . The density  $\langle n \rangle = 0.55 \times 10^{20} \text{m}^{-3}$  is assumed to evaluate  $\delta_N$ .
- FIG. 4. Plots of the averaged beta values,  $\beta$ , as a function of the magnetic field strength, B, for configurations with different  $\beta$ ; (a) $\beta = 0.0\%$ , (b) $\beta = 0.84\%$ , (c) $\beta = 1.32\%$ , (d) $\beta = 1.83\%$ , and (e) $\beta = 2.38\%$ .
- FIG. 5. Plots of the averaged beta values,  $\beta$ , as a function of the magnetic field strength, B, using the consistent configurations with obtained  $\beta$  values.

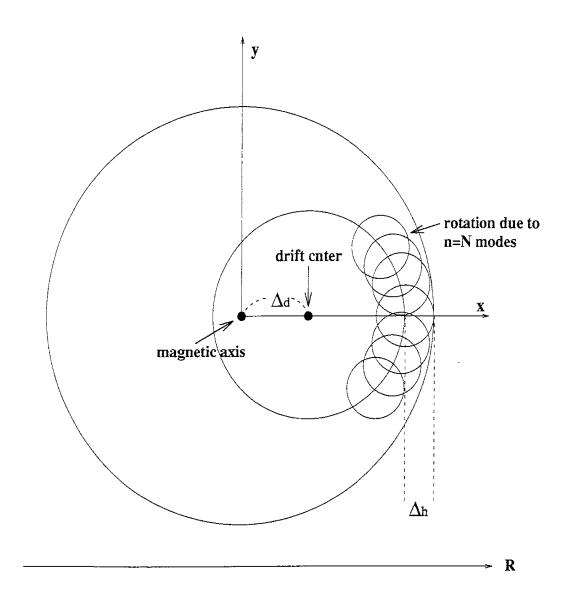


FIG. 1.

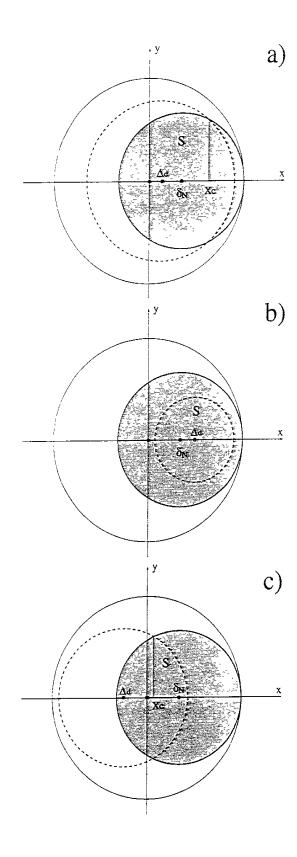


FIG. 2.

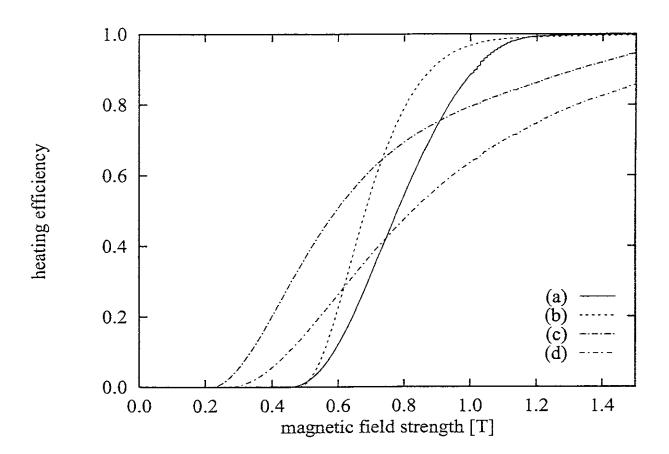


FIG. 3. (A)

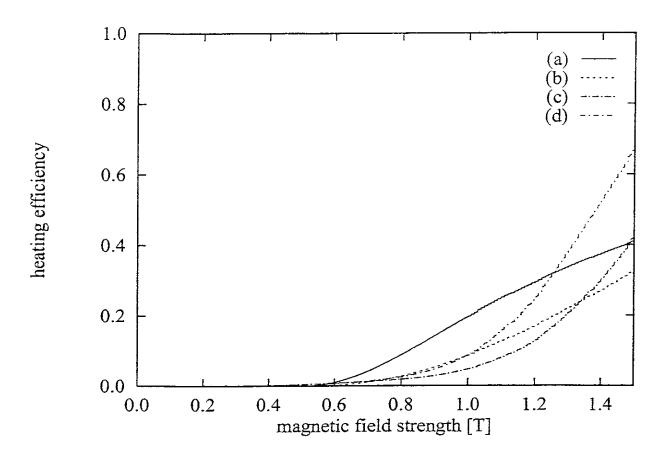


FIG. 3. (B)

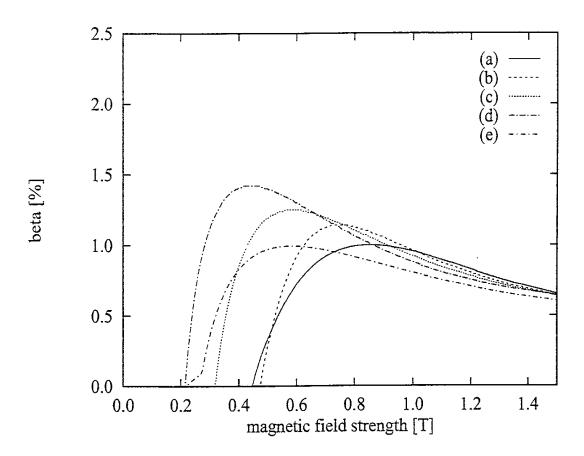


FIG. 4.

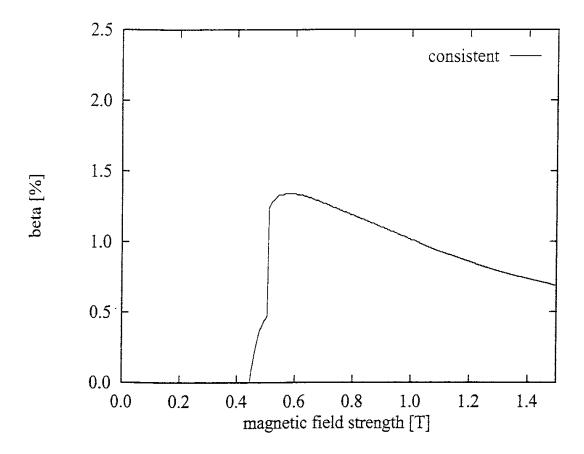


FIG. 5.

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