

## §10. Inclusion of Collision Term in Linear Gyrokinetic Eigenvalue Problem: I

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If collision term is relatively small,  $|C(h_\sigma)/(\omega - \omega_d)h_\sigma| \ll 1$ , it can be considered perturbatively in the linear gyrokinetic (GK) equation,

$$\begin{aligned} \frac{v_\parallel}{\mathcal{J}h} \frac{\partial h_\sigma^{(k)}}{\partial \theta} - i(\omega^{(k)} - \omega_d)h_\sigma^{(k)} &= -i(\omega^{(k)} - \omega_*^T) \frac{eF_M}{T} \\ &\times (J_0\phi^{(k)} - v_\parallel J_0 A_\parallel^{(k)} - iv_\perp J_1 A_\perp^{(k)}) + C(h_\sigma^{(k-1)}). \end{aligned}$$

Here  $k$  means  $k$ th calculation, and  $k = 0$  corresponds to collisionless case. Defining

$$\begin{aligned} \Psi_{0\sigma}^{(k)} &\equiv J_0\phi^{(k)} - \sigma|v_\parallel|J_0 A_\parallel^{(k)} - iv_\perp J_1 A_\perp^{(k)}, \\ C_\sigma^{(k)} &\equiv C(h^{(k-1)}) / \left[ -i(\omega^{(k-1)} - \omega_*^T) \frac{eF_M}{T} \Psi_{0\sigma}^{(k-1)} \right], \end{aligned}$$

and approximating the r.h.s of GK equation as

$$\begin{aligned} &-i \frac{eF_M}{T} \left[ (\omega^{(k)} - \omega_*^T) \Psi_{0\sigma}^{(k)} + (\omega^{(k-1)} - \omega_*^T) \Psi_{0\sigma}^{(k-1)} C_\sigma^{(k)} \right] \\ &= -i \frac{eF_M}{T} (\omega^{(k)} - \omega_*^T) \Psi_{0\sigma}^{(k)} \left[ 1 + \frac{\omega^{(k-1)} - \omega_*^T}{\omega^{(k)} - \omega_*^T} \frac{\Psi_{0\sigma}^{(k-1)}}{\Psi_{0\sigma}^{(k)}} C_\sigma^{(k)} \right] \\ &\simeq -i \frac{eF_M}{T} (\omega^{(k)} - \omega_*^T) \Psi_{0\sigma}^{(k)}, \end{aligned}$$

with  $\Psi_\sigma^{(k)} = \Psi_{0\sigma}^{(k)}(1 + C_\sigma^{(k)})$ , then we have formal solutions

$$\begin{aligned} h_\sigma^{(k)} &= \frac{eF_M}{T} (\omega^{(k)} - \omega_*^T) \frac{\mathcal{J}}{2\pi v} \int_{-\infty}^{\infty} dp \frac{|\omega_t| \exp[+i(p\hat{\theta} + \sigma w_d)]}{\omega^{(k)} - \sigma p |\omega_t|} \\ &\times \int_{-\infty}^{\infty} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \Psi_\sigma^{(k)}, \end{aligned}$$

for circulating part with real number  $p$ , and

$$\begin{aligned} h_\sigma^{(k)} &= \frac{eF_M}{T} (\omega^{(k)} - \omega_*^T) \frac{\mathcal{J}}{2\pi v} \sum_p \left[ \frac{\exp[+i(p\hat{\theta} + \sigma w_d)]}{\Gamma^{(k)} - \sigma p} \right. \\ &\times \int_{\theta_1}^{\theta_2} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \Psi_\sigma^{(k)} \\ &+ \sigma(-1)^{p+1} \exp[+i\sigma(\Gamma^{(k)}\hat{\theta} + w_d)] \sum_{\sigma'=\pm} \left( \frac{\sigma'}{\Gamma^{(k)} - \sigma'p} \right. \\ &\left. \left. \times \int_{\theta_1}^{\theta_2} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma'w_d)] \Psi_{\sigma'}^{(k)} \right) \right], \end{aligned}$$

for trapped part with integer  $p$ . For definitions of  $\omega_t, \Gamma, \hat{\theta}$  and  $w_d$ , see Ref.[1].

According to Ref.[1], Ritz method will be used to obtain a matrix eigenvalue problem, which require that integrals of the form of  $\int d\theta (h/(|v_\parallel|/v)) \exp[-i(p\hat{\theta} +$

$\sigma w_d)] \Psi_\sigma$  in  $h_\sigma$  are linear combination of  $\phi_l, A_{\parallel l}$  and  $A_{\perp l}$ . Here  $(\phi_l, A_{\parallel l}, A_{\perp l})$  are expansion coefficients of  $(\phi, A_\parallel, A_\perp)$  by basis function  $h_l$ , which is associated with  $l$ th Hermite polynomial [1]. In using Boozer coordinate,  $(\phi, A_\parallel, A_\perp) = \sum_l (h_l/h)(\phi_l, A_{\parallel l}, A_{\perp l})$  is suitable. The  $\Psi_{0\sigma}$  part in  $\Psi_\sigma$  is decomposed with  $h_l$ , by defining

$$\begin{aligned} \hat{\Psi}_{0\sigma l}^1 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{J_0 h_l}{h}, \\ \hat{\Psi}_{0\sigma l}^2 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{\sigma|v_\parallel|J_0 h_l}{h}, \\ \hat{\Psi}_{0\sigma l}^3 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{iv_\perp J_1 h_l}{h}, \end{aligned}$$

where  $(\theta_{\min}, \theta_{\max}) = (-\theta_M, \theta_M)$  for circulating particles with  $\theta_M$  being for  $\infty$  for numerical purpose, and  $(\theta_1, \theta_2)$  for trapped particles. The  $C_\sigma$  in  $\Psi_\sigma$  is decomposed as well,

$$\begin{aligned} \hat{C}_{\sigma l}^1 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{J_0 h_l C_\sigma^{(k)}}{h}, \\ \hat{C}_{\sigma l}^2 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{\sigma|v_\parallel|J_0 h_l C_\sigma^{(k)}}{h}, \\ \hat{C}_{\sigma l}^3 &= \int_{\theta_{\min}}^{\theta_{\max}} d\theta \frac{h}{|v_\parallel|/v} \exp[-i(p\hat{\theta} + \sigma w_d)] \frac{iv_\perp J_1 h_l C_\sigma^{(k)}}{h}, \end{aligned}$$

Then the formal solution  $h_\sigma$  can be written as a linear combination such as  $h_\sigma \propto \sum_{m=1}^3 \sum_{l=0}^L (\hat{\Psi}_{\sigma l}^m + \hat{C}_{\sigma l}^m) \psi_l^m$ , where  $(\phi, A_\parallel, A_\perp) = (\psi^1, \psi^2, \psi^3)$  is defined. By applying  $(T_e/e^2 n_e) \int d\theta h h_\nu$  to the Poisson equation and the parallel and perpendicular Ampere's law including  $(h_+ \pm h_-)$ , we have a matrix with collisional effect for  $k$ th calculation. The calculation will be continued to obtain a convergent solution.

1) G.Rewoldt et al., Phys. Fluids **25**, 480 (1982)